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UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
-----X
ANDREW CURRY,

Plaintiff,

Civil Action No. 07 CV 7158 (DC)

-against-

VOLT INFORMATION SCIENCES, INC. and VOLT TELECOMMUNICATIONS GROUP, INC.,

Defendants.

DEFENDANTS' NOTICE OF MOTION TO COMPEL ARBITRATION AND STAY THIS ACTION

PLEASE TAKE NOTICE, that upon the accompanying memorandum of law, the affidavit of Louise Ross, sworn to on November 9, 2007, and the exhibits annexed thereto, defendants Volt Information Sciences, Inc. and Volt Telecommunications Group, Inc. will move this Court at 500 Pearl Street, Courtroom 11B, New York, New York, to be returnable in accordance with Local Rule 6.1(d) of the Local Rules of the United States District Court for the Southern District of New York, for an order pursuant to Federal Arbitration Act ("FAA"), 9 U.S.C. § 3: (i) compelling the plaintiff, Andrew Curry ("plaintiff" or "Curry) to submit the claims alleged in the Complaint to arbitration on the ground that all of the claims are subject to a written agreement to arbitrate; (ii) staying this action; and (iii) such other and further relief as this Court deems just and proper.

Dated: New York, New York November 9, 2007

TROUTMAN SANDERS LLP

By:_ Sharon H. Stern (SS-3788)

405 Lexington Avenue

New York, New York 10174

(212) 704-6000

Attorneys for Defendants
Volt Information Sciences, Inc. and

Volt Telecommunications Group, Inc.

Jonathan Ben-Asher, Esq. To:

ROSS AFF.

UNITED STATES DISTRICT COURT	
SOUTHERN DISTRICT OF NEW YORK	X
ANDREW CURRY,	(*

Plaintiff,

Civil Action No. 07 CV 7158 (DC)

-against-

AFFIDAVIT OF LOUISE ROSS

VOLT INFORMATION SCIENCES, INC. and VOLT TELECOMMUNICATIONS GROUP, INC.,

	Defendants.	-X
STATE OF NEW YORK)	-23
COUNTY OF NEW YORK) ss.:	

LOUISE ROSS, being duly sworn, deposes and says:

- 1. I am the Vice President of Human Resources of defendant Volt Information Sciences, Inc. ("Volt"). I have personal knowledge of the facts stated herein and I submit this affidavit in support of the motion by defendants Volt Information Sciences, Inc. ("Volt") and Volt Telecommunications Group, Inc. ("VTG") (collectively, the "Defendants") for an order pursuant to Federal Arbitration Act ("FAA"), 9 U.S.C. § 3: (i) compelling the plaintiff, Andrew Curry ("plaintiff" or "Curry"), to submit the claims alleged in the Complaint to arbitration on the ground that all of the claims are subject to a written agreement to arbitrate; (ii) staying this action; and (iii) such other and further relief as this Court deems just and proper.
- 2. Defendant Volt is a public company whose stock is listed on the New York Stock Exchange. Volt is engaged in the business of providing products and services including: skill testing and training services; technical services and temporary personnel;

telecommunications services; operator services systems and information services; computer and network services; web site design and program management and consulting.

- 3. Defendant VTG, a wholly owned subsidiary of Volt, is the telecommunications segment of Volt's business, providing telecommunications services.
- 4. Plaintiff commenced this action by filing the Complaint on August 10, 2007. A copy of the Complaint is annexed hereto as Exhibit "A."
- 5. In this action, Plaintiff asserts claims of allegations of discriminatory employment practices in violation of Section 806 of the Corporate and Criminal Fraud Accountability Act of 2002, Title VII of the Sarbanes-Oxley Act of 2002, 18 U.S.C. § 1514A, et. seq. ("SOX"). Plaintiff asserts that his employment as Director of the Management Service Group of VTG was terminated in 2006 and that he was otherwise retaliated against because he allegedly engaged in protected activity under Section 806 of SOX.
- 6. The Defendants dispute the allegations of the Complaint and believe that Plaintiff's claims are wholly without merit. Annexed hereto as Exhibit "B" is a copy of Defendant's answer, asserting among other defenses, that the parties agreed to arbitrate employment disputes. No discovery has yet taken place in this action.
- 7. In his complaint, Plaintiff wholly disregards that Plaintiff was provided with the employee handbook of Volt, including its subsidiary VTG and Volt's other subsidiaries and divisions (the "Volt Employee Handbook"). The Volt Employee Handbook contains a broad arbitration provision at pages 20-21 thereof, stating that all employment related claims will be subject to mandatory arbitration. The broad arbitration provision in the Volt Employee Handbook states as follows:

ALTERNATIVE DISPUTE RESOLUTION

"Volt believes that alternative dispute resolution is the most efficient and mutually satisfactory means of resolving disputes between Volt and its employees. Any dispute, controversy or claim which was not settled through the Concerns and Issues Procedure and arises out of, involves, affects or relates in any way to any employee's employment or a claimed breach of the employment relationship or the conditions of your employment or the termination of your employment, including but not limited to disputes controversies of claims arising out of or relating to the actions of Volt's other employees, under Federal, State and/or local laws shall be resolved by final and binding arbitration. The applicable rules of the applicable rules of the American Arbitration Association in the state where employee is or was last employed by Volt shall prevail.

The arbitrator shall be entitled to award reasonable attorneys' fees and costs to the prevailing party. The award shall be in writing, signed by the arbitrator, and shall provide the reasons for the award. The Arbitration may provide for any remedies that would be available in a comparable judicial proceeding, unless such remedies are precluded under state law. This does not prevent you from filing a charge or claim with any governmental administrative agency as permitted by applicable law. (Emphasis added).

Arbitration is an essential element of your employment relationship with Volt and is a condition of your employment ..." (Emphasis added).

A copy of Volt Employee Handbook is annexed hereto as Exhibit "C."

8. On March 13, 2002, Plaintiff signed an acknowledgement that he received the Volt Employee Handbook (the "Acknowledgment"). A copy of the Acknowledgment is annexed hereto as Exhibit "D." The Acknowledgment not only set forth that Plaintiff received the Volt Employee Handbook, but in addition, stated that he read, understood and agreed to be "bound" by the arbitration provisions in the Volt Employee Handbook and "expressly waive[d] his right to sue the Company ... in court" and that he agreed to submit to final and binding

arbitration any dispute arising between him and the Company. The Acknowledgment states, in pertinent part:

- "I have read, understand and agreed to be bound by the Company's Discrimination Complaint Procedure, including Arbitration and expressly waive my right to sue the Company, its agents and employees in court and I agree to submit to final and binding arbitration any dispute, claim or controversy arising between me and the Company that I would have been otherwise entitled to file in court."
- 9. As demonstrated in the accompanying memorandum of law, arbitration of plaintiff's claims should be compelled and this action stayed under the FAA and the strong federal policy favoring arbitration agreements. The plain and unambiguous language of the signed Acknowledgment, along with the Volt Employee Handbook, evidences an objective manifestation of assent to arbitration by Plaintiff, as well as a waiver of his right to assert the claims at issue in court. In addition, as more fully discussed in the accompanying memorandum of law, courts in this district routinely uphold arbitration agreements contained in employee handbooks where, as here, the employee has signed an acknowledgement form.
- 10. The Volt Employee Handbook also makes plain that the arbitration of disputes is an "essential" condition of employment, which Plaintiff accepted when he continued to work for four years after his receipt of the Volt Employee Handbook and signing of the Acknowledgment. Thus, Plaintiff manifestly agreed to arbitrate.
- 11. Furthermore, the arbitration agreement is a broad one that unquestionably covers the claims advanced in the Complaint. Pursuant to the Volt Employee Handbook and Acknowledgment, Plaintiff is required to arbitrate all disputes "aris[ing] out of, involv[ing],

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¹ Here, there can be no dispute about the applicability of the FAA. As an employee of VTG, Plaintiff's duties encompassed transactions involving interstate commerce. He was required to use and did use various instrumentalities of interstate commerce, including the mail, telephones and various electronic telecommunications systems.

affect[ing] or relat[ing] in any way to ... employee's employment or a claimed breach of the employment relationship or the conditions of ... employment or the termination of ... employment, including but not limited to disputes controversies of claims arising out of or relating to the actions of Volt's other employees, under Federal, State and/or local laws..." All of the claims contained in Plaintiff's Complaint arise out and relate to his employment, his conditions or termination of employment and/or the actions of Volt's other employees under federal and state laws and, therefore, they fall within the scope of the arbitration agreement. As discussed in the accompanying memo of law, it is well established that whistleblower claims under SOX and similar claims under Title VII and comparable New York statutes are arbitrable. Thus, if the Plaintiff wishes to pursue his claims, he must do so through arbitration.

WHEREFORE, it is respectfully requested that Defendants' application for an order staying this action and compelling Plaintiff to submit his claims to arbitration be granted in all respects.

Louise Ross

Sworn to before me this 9+day of November, 2007

Notary Public

LISA VALENTINO
Notary Public, State of New York
No. 02VA6043112
Qualified in New York County
Commission Expires June 12, 2009

EXHIBIT A

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

c, 119g

JUDGE COTE

ANDREW CURRY,

Civil Action No.

Plaintiff,

COMPLAINT

-against-

PLAINTIFF DEMANDS A TRIAL BY JURY

Volt Information Sciences, Inc. and Volt Telecommunications Group, Inc.

Defendants.

AUG 10 PP

Plaintiff Andrew Curry, by his attorneys Beranbaum Menken Ben-Asher

Bierman LLP, alleges as follows:

1. This is an action arising under the Sec. 806 of the Sarbanes-Oxley Act o

2002, 18 U.S.C. 1514A.

- Plaintiff Andrew Curry alleges that defendants terminated his employment and otherwise retaliated against him because he engaged in activity protected under Sec. 806.
- 4. Plaintiff seeks declaratory and injunctive relief, including reinstatement to his position and all lost benefits of his employment, and special damages as permitted under Sec. 806.

JURISDICTION AND EXHAUSTION OF ADMINISTRATIVE REMEDIES

- 5. This action arises under Sec. 806 of the Sarbanes-Oxley Act of 2002, 18 U.S. Sec. 1514A.
- 6. Plaintiff has complied with all procedural requirements of Sec. 806 prior to filing this complaint.

- 7. Plaintiff timely filed a charge against defendants under Sec. 806 with the United States Department of Labor, Occupational Health and Safety Administration (Case No. 2 4173 06 058) on September 19, 2006, within 90 days after the date plaintiff was advised by defendants of the termination of his employment, in accordance with Sec. 806, 18 U.S.C. 1514A(b)(2)D).
- 8. More than 180 days have passed since plaintiff filed his charge with the Department of Labor (DOL), and DOL has not issued a final decision within the meaning of 18 U.S.C. Sec. 1514A(b)(1)(B).
- 9. On February 14, 2007, OSHA determined that there was not reasonable cause to conclude that defendants had violated Sec. 806. Plaintiff's counsel received this determination on February 15, 2007. OSHA did find that plaintiff had engaged in protected activity within the meaning of Sec. 806, that he had a reasonable belief that he was reporting fraud on VTG's shareholders, and that defendant VTG had known of his protected activity.
- 10. On March 15, 2007, plaintiff filed timely objections to OSHA's decision, in accordance with the regulations of the Department of Labor, 29 CFR Part 1980.
- 11. On May 9, 2007, as permitted by Sec. 806, plaintiff's counsel wrote the Department of Labor that plaintiff intended to file a complaint for de novo review in federal court, and requested that DOL terminate its proceedings concerning Mr. Curry's charge,
- 12. This Court has jurisdiction over this action pursuant to 18 U.S.C. Sec. 1514A(b)(1)(B) and 28 U.S.C. 1331.

PARTIES AND VENUE

- 13. Plaintiff Andrew Curry is a resident of the State of New York, residing at 7259 Shore Road, Brooklyn, N.Y. 11209.
- 14. For eight years prior to his termination, Mr. Curry was the Director of the Management Services Group at defendant Volt Telecommunications Group, Inc.
- 15. Defendant Volt Information Sciences, Inc. ("VIS") is a publicly traded corporation. It has a class of shares registered under Sec.12 of the Securities Exchange Act of 1934, 15 U.S.C. 781, and is required to file reports under Sec. 15(d) of the Securities and Exchange Act of 1934, 15 U.S.C. 780(d). It is incorporated in New York, and has its headquarters at 560 Lexington Avenue, New York, N.Y. 10022. VIS is a Fortune 1000 company, with annual revenues exceeding \$2 billion.
- 16. Defendant Volt Telecommunications Group, Inc. ("VTG") is a wholly owned subsidiary of defendant Volt Information Sciences., Inc. VTG has offices in Corona, California and at numerous other locations in the U.S. and internationally, including 560 Lexington Avenue, New York, N.Y. 10022. It is incorporated in State of Delaware. VTG designs, engineers, constructs, installs and maintains voice, data and video infrastructures for both the private sector and government agencies. VTG has over 1,000 employees, and provides services nationally and internationally.
- 17. Venue is therefore proper in this District, pursuant to 28 U.S.C. 1391(b), in that defendants are residents of this District, and a substantial part of the events giving rise to plaintiff's claims occurred in this District.
- 18. The value and performance of VIS is based, in part, on the value and performance of VTG; VTG and VIS share a common management and purpose; and VTG is also an agent of VIS.

FACTUAL ALLEGATIONS

- 19. Plaintiff Andrew Curry has more than 35 years experience in the telecommunications industry.
- 20. Before he was terminated by defendants, Mr. Curry had been continually employed since April, 1971.
- 21. Mr. Curry was hired by defendants in March, 1998, as Volt's Director of Management Services.
- 22. The Management Services group had five members, including Mr. Curry.

 The other members, all of whom reported to him, were Senior Quality Systems Managers

 Lisa Covell and Charles Calv, Quality Systems Manager Lisa Eckhart, and Licensing

 Manager Andrew Zelner.
- 23. The Management Services group was responsible for implementing Volt Telecommunications Group's compliance with international and industry-wide standards called ISO 9001 and TL 9000.

The international and industry-wide standards implemented by Mr. Curry's group

24. The ISO standards were developed by the International Organization for Standardization (ISO), which determines standards for businesses world wide. ISO's standards range from mechanical ones (for example, how threads on various screw sizes are made) to business management standards (procedures for businesses to follow in management, accounting and financial transactions.) See the ISO website, at www.iso.org/iso/en/aboutiso/introduction/index.html. The ISO 9001 standard is

primarily concerned with "quality management," which concerns the customer's quality requirements, continuous improvement programs to ensure that those requirements are achieved, and applicable regulatory requirements. See http://www.iso.org/iso/en/iso9000-14000/understand/inbrief.html.

- 25. The TL 9000 standards are specific to the information technology and telecommunications industries. The TL 9000 standards define the requirements for the design, development, production, delivery, installation and maintenance of telecommunications products and services. They include procedures for contract administration, purchases of materials, and subcontractor services. They also provide a measurement system that allows companies to track performance and improve results. See http://tl9000.org/
- 26. The TL 9000 standard was developed by the QuEST Forum (the "Quality Excellence for Suppliers of Telecommunications Forum") The QuEST Forum is a group of the leading telecommunications and IT companies, including AT&T, Bell Canada, Deutsche Telecom, France Telecom, Verizon, 3M, Cisco Systems, Ericsson, Fujutsu, Hitachi, Motorola, NEC, Nokia, Siemens, and many others. It also includes major accrediting and standard-setting organizations from many countries. See http://www.questforum.org/membership/mm_dir.isp. QuEST used the ISO 9001 standards as its foundation for the TL 9000 Quality Management System, and added requirements particular to the telecommunications and IT industries. Hundreds of companies adhere to these TL 9000 standards. Most major telecommunications and IT companies require both ISO 9001 and TL 9000 certifications as a requirement for their suppliers.

Registration, certification and audit procedures relevant to Mr. Curry's responsibilities

- 27. Telecommunications companies that demonstrate compliance with the TL 9000 standard are investigated by an accredited "Registrar," which reviews the company's written procedures. If the Registrar approves the procedures, the company is considered "registered." In the next step, a QuEST certified auditor then visits the company and conducts an intensive Registration Audit to determine whether it is actually adhering to these written standards. If so, the company is confirmed by the Registrar to be in compliance with the TL 9000 standard, and is recommended to the QuEST Forum for formal certification as a TL 9000 company. See http://tl9000.org/tl_reg-prcss.htm. This certification process can take six to eighteen months. See http://tl9000.org/tl faq-b.htm.
- 28. ISO 9001 certification is a pre-requisite for TL 9000 certification. The ISO 9001 certification process, which must precede the TL 9000 certification, can take from eighteen months to three years.
- 29. Once the company is certified, the ISO 9001 and TL 9000 standards require two types of ongoing audits. One is an annual "Surveillance Audit" by the TL 9000 registrar, to ensure that the company continues to comply with the standard. The company must also do regular internal audits of its ISO 9001 and TL 9000 compliance.

Volt's registration, certification and audits

30. Before Mr. Curry joined VTG, none of these procedures or approvals were in place at VTG. Volt had neither adopted the ISO 9001 standards, registered its procedures, or been certified after an audit as ISO 9001 compliant.

- 31. Mr. Curry's group was responsible for implementing these requirements, and ensuring that Volt continued to adhere to the ISO 9001 standards. Before Mr. Curry's employment, VTG's Central Office (CO) Division had advised its principal customer, AT&T, that it was completing ISO 9001 certification, although VTG had not initiated any action to obtain this certification.
- 32. Mr. Curry's group developed VTG's ISO 9001 Standard Operating Procedures, which were Volt's procedures for following the ISO 9001 standards, as well as the required Quality Policy and detailed Work Instructions documentation. These were submitted to the ISO 9001 registrar, which reviewed them, registered VTG, audited VTG's adherence to the Procedures, Quality Policy and Work Instructions, and then recommended VTG to the ISO for ISO 9001 certification.
- 33. Before submitting VTG's ISO 9001 Standard Operating Procedures for registration, Mr. Curry's group performed "Pre-Registration Audits" on Volt's procedures, to ensure VTG was complying with them. Once VTG was certified, it also performed internal audits on each internal organization and at each location, several times a year to evaluate compliance. This group also conducted weekly, semi-monthly and monthly Steering Committee meetings with each certified unit to direct the development of Continuous Improvement Programs. The same process was followed for the TL 9000 certification of VTG's Central Office division, its Verizon Corporate Telecommunications Services (VCTS) division, and its Contract Administration and Procurement Management division (CAPM).
- 34. Beginning in 2005, Mr. Curry's group audited four locations in Volt's Central Office, one in Volt's VCTS unit in Dallas, and Volt's CAPM division in Raleigh-Durham. There were at least two audits scheduled in 2005 for each location. Each audit

took several weeks, with a typical Pre-Registration audit lasting three to four weeks, and a post-certification internal audit lasting two weeks. Audits were conducted both on-site and "virtually," but the form of the audit did not affect how long it took to complete.

Mr. Curry had introduced "virtual" audits to reduce his group's travel expenses.

Mr. Curry's protected activity under Sec. 806

- 35. Between February, 2005 and May, 2006, Mr. Curry engaged in activity protected by Sec. 806.
- 36. Mr. Curry provided information, caused information to be provided and otherwise assisted in an investigation of conduct by defendants which Mr. Curry reasonably believed constituted a violation of the provisions listed and described in 18 U.S.C. 1514A(a)(1), including fraud on VIS's shareholders; in addition, by his investigation and complaints concerning such conduct, Mr. Curry participated or otherwise assisted in a proceeding about to be filed, within the meaning of 18 U.S.C. 1514A(a)(2).
 - 37. Mr. Curry's protected activity included the following:

Mr. Curry's 2005 audits of CAPM

- 38. In March, 2004, the then President of Volt Telecommunications, William Braunlich, asked Mr. Curry's MS group to review VTG's financial performance.
- 39. Mr. Curry's staff performed several analyses and recommended corrective actions to Mr. Braumlich and his Controller on February 28, 2005. A copy of this report was forwarded to Assistant General Counsel Lisa Valentino in April, 2005, at her request.
 - 40. The Contract Administration and Procurement Management Division

(CAPM) requested ISO 9001 and TL 9000 certification in April, 2004. As part of this process. Mr. Curry's group produced audit reports of CAPM on April 8, 2005, June 29, 2005 and September 28, 2005, which found serious deficiencies in VTG's compliance with Volt's Sarbanes-Oxley ("SOX") procedures regarding contract administration, engagement of subcontractors and procurement of materials. Mr. Curry's reports specifically and extensively referred to Volt's SOX procedures.

- 41. VTG's CAPM Standard Operating Procedures (SOP's) for purchases of materials and for engagement of subcontractors specifically rely on VTG's Sarbanes-Oxley compliance procedures. VTG's internal controls for contracts and accounts payable, as required by Sec. 404 of SOX, were included in CAPM's ISO 9001 and TL 9000 Standard Operating Procedures.
- 42. Mr. Curry's audits found wide-ranging improprieties in the payments made to contractors and the purchases of materials.
- 43. These improprieties were material, in that the costs associated with them, as Mr. Curry repeatedly noted in his subsequent internal complaints, ran into the millions of dollars, a significant cost in a company which had not been profitable for several years.
- 44. In July, 2005, Mr. Braunlich was replaced as VTG's President by R. J. Anderson, who was Senior Vice President in VTG's Construction and Engineering Division. Most of Mr. Curry's audit findings concerned Mr. Anderson's division, and Mr. Anderson was hostile to Mr. Curry's auditing and compliance work on these issues. Over the next year, he worked to undermine Mr. Curry's authority, finally terminating him in June, 2006.

Mr. Curry's complaint to Volt Information Science's CEO

- 45. On August 8, 2005, Mr. Curry wrote to Volt Information Science's CEO, William Shaw, discussing how his audits had found "deficiencies that could represent a [m]aterial [w]eakness in our internal financial controls" and noting that "fraudulent activity" might be involved."
- 46. Mr. Curry had several meetings with Volt's Vice President of Internal Accounting during the summer of 2005 to discuss his findings.

Mr. Curry's provision of information to the New York State Attorney General

47. In November, 2005, Mr. Curry provided information to and met with the New York State Attorney General's office (Harold Wilson) concerning his group's audit findings. He provided the AG's office with copies of the audits, which found extensive improprieties in VTG's subcontracting and the purchase of materials. Mr. Curry also discussed allegations of payroll tax improprieties by Volt.

Complaint to IRS regarding payroll tax fraud

48. On October 3, 2005, Mr. Curry filed a complaint of payroll tax fraud by Volt with the Internal Revenue Service, a federal law enforcement authority with the power to investigate fraud under Sec. 806. Mr. Curry met with the IRS on November 30, 2005. Mr. Curry also raised this complaint internally, by writing VIS President William Shaw, among others, that VTG was improperly using per diem payments to employees to significantly reduce payroll costs. Mr. Curry reasonably believed that the improper payments were material to VTG's and VIS's financial condition.

Mr. Curry's complaint to Volt's General Counsel

49. On July 20, 2005, in memo titled "Request for Whistleblower [P]rotection,"

Mr. Curry wrote Volt Information Science's General Counsel, Howard Weinreich, referring to his group's audits finding "significant deficiencies in VTG's Sarbanes/Oxley management controls and serious variances with several corporate accounting / financial policies." He also said there were "strong indications of fraudulent activities related to purchases of materials and subcontractor services." He requested "protection from retaliation." He made a further request to Mr. Weinreich for whistleblower protection on August 9, 2005.

Mr. Curry's internal complaint of retaliation

- 50. Mr. Curry made several complaints concerning Mr. Anderson's introduction of a new policy precluding telecommuting. He reasonably believed that this policy was being selectively enforced against his Management Systems group.
- 51. On October 28, 2005, Mr. Curry wrote General Counsel Howard Weinreich (with copies to CEO William Shaw and other executives), saying the policy change was retaliation for his group's raising of issues regarding "internal financial controls [and] illegal activities." He said that Volt's failure to "take appropriate corrective actions and hold senior managers accountable for ignoring internal financial controls...[and] illegal activities" represented "a serious disservice to our shareholders." He stated that he would be filing a charge under Sarbanes-Oxley with the Department of Labor.
- 52. Mr. Curry also prepared a list of VTG employees he had randomly checked, showing that the majority of these employees whose one way commutes ranged from 4 to 48 miles were permitted to telecommute, although Mr. Curry's employees' commutes were far lengthier.
- 53. On December 19, 2005, Mr. Curry wrote VIS CEO William Shaw and the independent members of the Board that he would be filing a complaint with DOL under

Sec. 806, based on the application of the new telecommuting policy. He stated that the telecommuting policy was in retaliation for the audits that had identified deficiencies in Volt's Sarbanes-Oxley compliance and payroll tax fraud which improperly reduced Volt's direct costs. He stated the Volt had failed to honor previous requests he had made for "whistleblower" status. Mr. Curry requested an independent investigation of all of these issues.

- 54. In May, 2006, Mr. Curry wrote VTG President R. J. Anderson, concerning the telecommuting policy, and again advising of his belief that VTG was violating requirements of the Sarbanes-Oxley Act.
 - 55. Defendants were aware of plaintiff's protected activity under Sec. 806.

Volt's retaliatory actions

- 56. Following Mr. Curry's 2005 audits and the other protected activity in which he engaged through May, 2006, defendants took a series of retaliatory actions against Mr. Curry, in retaliation for his protected activity under Sec. 806, which culminated in his termination on June 23, 2006.
- 57. VTG's new CEO, R. J. Anderson, first proposed the elimination of Mr. Curry's Management Services Group, ostensibly as part of a company-wide cost reduction, in July, 2005, following Mr. Curry's submission of the April and June, 2005 audit findings.
- 58. Beginning in January, 2006, Mr. Anderson selectively enforced Volt's new policy against telecommuting, negatively impacting the members of Mr. Curry's Management Services group, and exempting employees in other groups whose commuting distances were far less.

- Case 1:07-cv-07158-DLC Document 6 Filed 11/09/2007 Page 23 of 7 59. Mr. Anderson directed Mr. Curry to reduce his Management Services budget by fifty per cent, which resulted in the transfer of two employees to other divisions (Senior Quality Systems Manager Charles Calv and Licensing Manager Andrew Zelner). This did not reduce operating expenses for VTG, since there was no change in these two employees' responsibilities or compensation.
- 60. Finally, on June 23, 2006, defendants, by Mr. Anderson, terminated Mr. Curry's employment, ostensibly as a cost-cutting measure.
- 61. Defendants' purported cost-cutting rationale for terminating Mr. Curry was a pretext for their actual motive, which was to retaliate against Mr. Curry for his repeated protected activity under Sec. 806.
- 62. Mr. Curry was the only member of his group who was fired. As described above, two others had been previously transferred to other divisions and retained their existing responsibilities, so Volt realized no savings concerning them. A fourth had resigned in May, 2006, before Mr. Curry was terminated (Senior Quality Systems Manager Lisa Covell). Volt left in place the group's least experienced and qualified member, Quality Systems Manager Lisa Eckhart, also saving no costs.
- 63. Defendants' termination of Mr. Curry, and the other actions described in paragraph 56 through 62 above, were in retaliation for Mr. Curry's protected activity under Sec. 806.
- 64. Defendant VIS was involved in, and approved of, the retaliatory actions taken against plaintiff by VTG.
- 65. Volt's financial results for its 2006 Fiscal Year showed that at the time the termination decision was made, VTG was profitable. For the nine months (first three quarters) ending July 31, 2006, VTG had a profit of \$539,000.

- 66. Only two weeks before Mr. Curry was fired, VTG President Anderson sent an e-mail to all his direct reports, celebrating "another positive month" for VTG.
- 67. Thus, at the time Mr. Curry was fired, defendants had no legitimate fiscal reasons to terminate him.
- 68. Volt Information Sciences as a whole reported an increase in its net income for Fiscal Year 2006 over 2005, from \$17 million to \$30 million. In the third quarter, when Mr. Curry was fired, Volt Information Sciences had a profit of \$8.4 million, compared to \$5 million in the third quarter of 2005.
- 69. In addition, Mr. Curry had taken major steps to reduce even the bare-bones overhead of his group. The use of virtual, as opposed to on-site audits, was a significant cost-cutting measure, and the use of PC-based training materials further cut on-site travel costs. The telecommuting done by Mr. Curry's staff also saved VTG significant office overhead.

CLAIMS FOR RELIEF

FIRST CAUSE OF ACTION Violation of Sec. 806 of the Sarbanes-Oxley Act.

- 70. Plaintiff restates and realleges the allegations contained in paragraphs 1 through 69 above.
- 71. Defendants terminated plaintiff's employment and engaged in other discriminatory conduct which was in retaliation for lawful acts taken by plaintiff constituting protected activity under 18 U.S.C. 1514A(a).
- 72. By engaging in such retaliatory conduct, defendants violated Sec. 806 of the Sarbanes-Oxley Act, 18 U.S.C. 1514A.

WHEREFORE, Plaintiff requests that this Court enter an order:

- 1. Declaring that defendants violated Sec. 806 of the Sarbanes-Oxley Act;
- 2. Pursuant to 18 U.S.C. 1514A(c), awarding plaintiff all relief necessary to make plaintiff whole, including reinstatement to his position with seniority, back pay with interest, the value of all lost employment benefits, and front pay;
- 3. Pursuant to 18 U.S.C. 1514A(c), awarding plaintiff special damages, including litigation costs, expert witness fees, reasonable attorney's fees, and damages for injury to reputation and for emotional distress;
 - 4. Granting such other and further relief as permitted by law.

JURY DEMAND

Pursuant to Fed. R. Civ. P. 38(b), plaintiff demands a trial by jury of this action.

Dated: New York, N.Y. August 10, 2007

BERANBUAM MENKEN BEN-ASHER & BIERMAN LLP Attorney for Plaintiff Andy Curry

By:

JONATHAN BEN-ASHER (JB-A 4572) KRISTEN FINLON (KF 2575)

80 Pine Street - 32nd floor New York, N.Y. 10005 Telephone: (212) 509-1616 Facsimile: (212) 509-8088

EXHIBIT B

UNITED STATES DISTRICT COURT	
SOUTHERN DISTRICT OF NEW YORK	
	ζ
ANDREW CURRY,	

Plaintiff,

Civil Action No. 07 CV 7158 (DC)

-against-

VOLT INFORMATION SCIENCES, INC. and VOLT TELECOMMUNICATIONS GROUP, INC.,

Defendants.
 X

DEFENDANTS' ANSWER TO THE COMPLAINT

Defendants Volt Information Sciences, Inc. ("VIS") and Volt Telecommunications Group, Inc. ("VTG") (collectively, the "Defendants"), by their attorneys, Troutman Sanders LLP, for their answer to the Complaint of plaintiff Andrew Curry ("Plaintiff"), state as follows:

- 1. Deny the allegations contained in paragraph 1 of the Complaint, except admit that Plaintiff purports to bring an action under the Sec. 806 of the Sarbanes-Oxley Act of 2002, 18 U.S.C. 1514A.
- 2. Deny the allegations contained in paragraph 2 of the Complaint, except admit that Plaintiff purports to allege that Defendants terminated his employment and otherwise retaliated against him because he purportedly engaged in activity protected under Sec. 806.
- 3. Deny the allegations contained in paragraph 4 of the Complaint, except admit that Plaintiff purports to seek certain relief from Defendants.
- 4. Deny the allegations contained in paragraph 5 of the Complaint, except admit that Plaintiff purports to bring an action under Section 806 of the Sarbanes-Oxley Act of 2002, 18 U.S.C. Section 1514(A).

- 5. Deny the allegations contained in paragraph 6 of the Complaint.
- 6. Deny the allegations contained in paragraph 7 of the Complaint, except admit that Plaintiff filed a charge against Defendants under Sec. 806 with the United States Department of Labor, Occupational Health and Safety Administration. (Case No. 2 4173 06 058) and respectfully refer the Court to the charge filed by Plaintiff with the Department of Labor, Occupational Safety and Health Administration, for the terms, contents and effect thereof.
- 7. The allegations contained in paragraph 8 of the Complaint contain a legal conclusion to which no response is required and to the extent that any response is required, the allegations are denied.
- 8. Deny the allegations contained in paragraph 9 of the Complaint, except admit that OSHA made a determination on February 14, 2007 and that in such determination, concluded that there was not reasonable cause to conclude that Defendants had violated Sec. 806, deny knowledge or information as to the date upon which Plaintiff received the OSHA determination and respectfully refer the Court to the OSHA determination, for the terms, contents and effect thereof.
- 9. The allegations contained in paragraph 10 of the Complaint contain a legal conclusion to which no response is required and to the extent that any response is required, the allegations are denied and the Court is respectfully referred to the objections for the terms, contents and effect thereof.
- 10. In response to paragraph 11 of the Complaint, admit that Plaintiff's counsel wrote the Department of Labor that Plaintiff intended to file a complaint for de novo review in federal court, and requested that DOL terminate its proceedings concerning Plaintiff's charges,

deny the remaining allegations contained in paragraph 11 of the Complaint and respectfully refer the Court to the letter sent by Plaintiff's counsel for the terms, contents and effect thereof.

- 11. Deny the allegations of paragraph 12 of the Complaint.
- 12. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 13 of the Complaint.
- 13. Deny the allegations of paragraph 14 of the Complaint, except admit that for eight years, Plaintiff was employed by VTG.
- 14. Deny the allegations contained in paragraph 15 of the Complaint, except admit that VIS is a publicly traded corporation which has a class of shares registered under Sec. 12 of the Securities Exchange Act of 1934, 15 U.S.C. 781, is required to file reports under Sec. 15(d) of the Securities and Exchange Act of 1934, 15 U.S.C. 780(d), is incorporated in New York, has its headquarters at 560 Lexington Avenue, New York, New York 10022, and is a Fortune 1000 company.
- 15. Deny the allegations contained in paragraph 16 of the Complaint that VTG has numerous international offices and provides services internationally, and admit the remaining allegations of paragraph 16 of the Complaint.
- 16. Deny the allegations contained in paragraph 17 of the Complaint, except admit that Plaintiff purports to base venue in this District based upon certain statutory provisions and that defendants are residents of this District.
 - 17. Deny the allegations of paragraph 18 of the Complaint.
- 18. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 19 of the Complaint.

- 19. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 20 of the Complaint.
- 20. Deny the allegations of paragraph 21 of the Complaint, except admit that Plaintiff was hired in March 1998 and aver that Plaintiff's title was Director of Quality Control.
- 21. Deny the allegations of paragraph 22 of the Complaint, except aver that Plaintiff worked with the Quality Management Systems Group.
- 22. Deny the allegations contained in paragraph 23 of the Complaint and respectfully refer the Court to the ISO website and ISO standards of the International Standards Organization for the terms, contents and effect thereof.
- 23. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 24 of the Complaint and respectfully refer the Court to the TL 9000 standards for the terms, contents and effect thereof.
- 24. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 25 of the Complaint and respectfully refer the Court to the TL 9000 standards for the terms, contents and effect thereof.
- 25. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 26 of the Complaint and respectfully refer the Court to the TL 9000 and ISO 9001 standards and the questforum website for the terms, contents and effect thereof.
- 26. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 27 of the Complaint and respectfully refer the Court to the ISO 9001 standards, the TL 9000 standards and TL 9000 website for the terms, contents and effect thereof.

- 27. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 28 of the Complaint and respectfully refer the Court to the ISO 9001 standards, the TL 9000 standards and TL 9000 website for the terms, contents and effect thereof.
- 28. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 29 of the Complaint and respectfully refer the Court to the ISO 9001 standards and the TL 9000 standards for the terms, contents and effect thereof.
- 29. Deny the allegations contained in paragraph 30 of the Complaint, except admit that before Plaintiff joined VTG, it had not registered its procedures, and had not been certified after an audit as ISO 9001 compliant.
 - 30. Deny the allegations contained in paragraph 31 of the Complaint.
- 31. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 32 of the Complaint and respectfully refer the Court to the VTG ISO 9001 standards and the standards of the International Standards Organization for the terms, contents and effect thereof.
- 32. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 33 of the Complaint and respectfully refer the Court to the VTG ISO 9001 standards.
- 33. Deny knowledge or information sufficient to form a belief as to the truth of the allegations contained in paragraph 34 of the Complaint, except aver that in 2005, there were audits in four locations in VTG's Central Office, one in VTG's VCTS unit in Dallas, and VTG's CAPM division in Raleigh/Durham.
 - 34. Deny the allegations contained in paragraph 35 of the Complaint.

- 35. Deny the allegations contained in paragraph 36 of the Complaint.
- 36. Deny the allegations contained in paragraph 37 of the Complaint that the alleged activity was protected activity and deny the allegations of conduct engaged in as alleged in paragraph 37 of the Complaint.
- 37. Deny the allegations contained in paragraph 38 of the Complaint that the alleged activity was protected activity and deny knowledge or information sufficient to form a belief as to the truth of the allegations of conduct engaged in as alleged in paragraph 38 of the Complaint.
- 38. Deny the allegations contained in paragraph 39 of the Complaint that the alleged activity was protected activity and deny knowledge or information sufficient to form a belief as to the truth of the allegations of conduct engaged in as alleged in paragraph 39 of the Complaint, except admit that a copy of the report was forwarded to Assistant General Counsel Lisa Valentino in April, 2005 at her request, and respectfully refer the Court to the report for the terms, contents and effect thereof.
- 39. Deny the allegations contained in paragraph 40 of the Complaint, except admit that audit reports of CAPM on April 8, 2005, June 29, 2005 and September 28, 2005 exist and respectfully refer the Court to the reports for the terms, contents and effect thereof.
- 40. Deny the allegations contained in paragraph 41 of the Complaint and respectfully refer the Court to VTG's CAPM Operating Procedures, SOX and ISO 9001 and TL 9000 standards.
 - 41. Deny the allegations contained in paragraph 42 of the Complaint.
 - 42. Deny the allegations contained in paragraph 43 of the Complaint.

- 43. Deny the allegations contained in paragraph 44 of the Complaint, except admit that in July 2005, Mr. R.J. Anderson became VTG's President and Mr. Anderson had been Senior Vice President in VTG's Construction and Engineering Division.
- 44. Deny the allegations contained in paragraph 45 of the Complaint, except admit that on August 8, 2005, Plaintiff wrote to VIS' CEO, William Shaw, and respectfully refer the Court to the letter for the terms, context and meaning thereof.
- 45. Deny the allegations contained in paragraph 46 of the Complaint, except admit that Plaintiff had meetings with VTG's Vice President of Internal Accounting in the summer of 2005.
- 46. Deny the allegations contained in paragraph 47 of the Complaint, except deny knowledge or information as to the truth of the allegations that Plaintiff provided information to and met with the New York State Attorney General's office concerning his group's audit findings, and provided the Attorney General's office with copies of the audits, and admit that Plaintiff engaged in discussions with VIS.
- 47. Deny the allegations contained in paragraph 48 of the Complaint, except deny knowledge or information as to the truth of the allegations regarding Plaintiff's filing of a complaint of payroll tax fraud by Volt with the IRS, Plaintiff's meetings with the IRS, and admit that Plaintiff wrote to Mr. William Shaw, VIS' then President in October 2005 and respectfully refer the Court to that letter for its terms, contents and meaning.
- 48. Deny the allegations contained in paragraph 49 of the Complaint, except admit that on July 20, 2005, Plaintiff wrote a memo to VIS' General Counsel, Howard Weinreich, referring to audits and made a request to Mr. Weinreich for whistleblower protection on

August 9, 2005, and respectfully refer the Court to the writing for its terms, context and meaning.

- 49. Deny the allegations contained in paragraph 50 of the Complaint.
- 50. Deny the allegations contained in paragraph 51 of the Complaint, except admit that on October 28, 2005, Plaintiff wrote VIS' General Counsel Howard Weinreich (with copies to CEO William Shaw and other executives), and respectfully refer the Court to the letter for its terms, context and meaning.
- 51. Deny the allegations contained in paragraph 52 of the Complaint, except admit that Plaintiff made a list of employees that pertained to telecommuting.
- 52. Deny the allegations contained in paragraph 53 of the Complaint, except admit that on December 19, 2005, Plaintiff wrote VIS CEO William Shaw and the independent members of the Board and respectfully refer the Court to the letter for its terms, context and meaning.
- 53. Deny the allegations contained in paragraph 54 of the Complaint, except admit that in May 2006, Plaintiff wrote VTG President R. J. Anderson, and respectfully refer the Court to the letter for its terms, context and meaning.
 - 54. Deny the allegations contained in paragraph 55 of the Complaint.
 - 55. Deny the allegations contained in paragraph 56 of the Complaint.
 - 56. Deny the allegations contained in paragraph 57 of the Complaint.
 - 57. Deny the allegations contained in paragraph 58 of the Complaint.
 - 58. Deny the allegations contained in paragraph 59 of the Complaint.

- 59. Deny the allegations contained in paragraph 60 of the Complaint, except admit that on June 23, 2006, Plaintiff's employment was terminated, and aver that Plaintiff's employment was terminated for legitimate, non-discriminatory business reasons.
- 60. Deny the allegations contained in paragraph 61 of the Complaint, except admit that on June 23, 2006, Plaintiff's employment was terminated, and aver that Plaintiff's employment was terminated for legitimate, non-discriminatory business reasons.
 - 61. Deny the allegations contained in paragraph 62 of the Complaint.
 - 62. Deny the allegations contained in paragraph 63 of the Complaint.
 - 63. Deny the allegations contained in paragraph 64 of the Complaint.
- 64. Deny the allegations contained in paragraph 65 of the Complaint, except admit that for the first three quarters of 2006, VTG had a profit of \$539,000, but aver that for the fiscal year 2006, VTG incurred a net loss of \$1,069,500.
- 65. Deny the allegations contained in paragraph 66 of the Complaint, except that Mr. Anderson sent an e-mail and respectfully refer the Court to the e-mail for its terms, context and meaning.
 - 66. Deny the allegations contained in paragraph 67 of the Complaint.
- 67. Admit the allegations contained in paragraph 68 of the Complaint, and aver that for the fiscal year 2006, VTG incurred a loss.
 - 68. Deny the allegations contained in paragraph 69 of the Complaint.
- 69. In response to paragraph 70 of the Complaint, repeat and restate the responses to paragraphs 1 through 69 as through fully set forth herein.
 - 70. Deny the allegations contained in paragraph 71 of the Complaint.
 - 71. Deny the allegations contained in paragraph 72 of the Complaint.

The paragraphs immediately following Paragraph 72 of the Complaint state a prayer for relief to which no responsive pleading is required. To the extent a responsive pleading is required, Defendants deny Plaintiff is entitled to any relief whatsoever.

JURY DEMAND

Defendants deny that Plaintiff is entitled to a jury trial.

AFFIRMATIVE DEFENSES

Without assuming the burden of proof with respect to the matters asserted, Defendants plead the following defenses:

FIRST AFFIRMATIVE DEFENSE

72. Plaintiff's claims are barred for failure to state a claim upon which relief can be granted.

SECOND AFFIRMATIVE DEFENSE

73. Plaintiff's employment was terminated for legitimate, non-discriminatory business reasons.

THIRD AFFIRMATIVE DEFENSE

74. Plaintiff's claims are barred, in whole or in part, by his failure to make reasonable efforts to mitigate his alleged damages.

FOURTH AFFIRMATIVE DEFENSE

75. Plaintiff's claims are barred, in whole or in part, by the doctrines of waiver, estoppel and/or laches.

FIFTH AFFIRMATIVE DEFENSE

76. Plaintiff's claims are barred, in whole or in part, by the doctrine of election of remedies.

SIXTH AFFIRMATIVE DEFENSE

77. Plaintiff's claims are barred, in whole or in part, to the extent that he failed to properly and timely satisfy and/or exhaust all necessary administrative, statutory and/or jurisdictional prerequisites for the commencement of this action.

SEVENTH AFFIRMATIVE DEFENSE

78. Plaintiff's claims are barred, in whole or in part, by the applicable statute(s) of limitations.

EIGHTH AFFIRMATIVE DEFENSE

79. Plaintiff's claims are barred, in whole or in part, because Plaintiff failed to participate in any protected activity or to otherwise satisfy the elements of a whistle-blower claim under the Sarbanes-Oxley Act of 2002.

NINTH AFFIRMATIVE DEFENSE

80. With respect to VIS, Plaintiff's claims are barred, in whole or in part, because VIS was not Plaintiff's "Employer"; nor was Plaintiff VIS' "employee" under applicable law.

TENTH AFFIRMATIVE DEFENSE

81. Plaintiff's claims are barred, in whole or in party, by unclean hands.

ELEVENTH AFFIRMATIVE DEFENSE

82. Plaintiff has received all compensation and benefits to which he was entitled.

TWELFTH AFFIRMATIVE DEFENSE

83. Defendants at all times followed stated, nondiscriminatory policies.

THIRTEENTH AFFIRMATIVE DEFENSE

84. To the extent that Plaintiff seeks to assert claims for reputational, physical or emotional injuries or other non-pecuniary remedies, Plaintiff is not entitled to such remedies

under the Sarbanes-Oxley Act of 2002, 18 U.S.C. 1514A, and such remedies are also barred, in whole or in part, by the exclusive remedies provision of the New York Worker's Compensation Law.

FOURTEENTH AFFIRMATIVE DEFENSE

85. Plaintiff was an employee at will whose employment could be terminated at any time and for any nondiscriminatory reason.

FIFTEENTH AFFIRMATIVE DEFENSE

86. To the extent that Defendants had notice of alleged retaliation, Defendants took prompt and appropriate remedial action.

SIXTEENTH AFFIRMATIVE DEFENSE

87. Plaintiff is barred from pursuing his claims in this forum because the claims advanced in the Complaint are the subject of an arbitration agreement.

WHEREFORE, defendants Volt Information Sciences, Inc., and Volt Telecommunications Group, Inc. demand judgment dismissing the Complaint, and each claim contained therein, awarding defendants' their costs and disbursements, attorneys' fees and expenses and granting such other and further relief as may be just and proper.

Dated: New York, New York October 2, 2007 Case 1:07-cv-07158-DLC Document 6 Filed 11/09/2007 Page 39 of 70

TROUTMAN SANDERS LLP

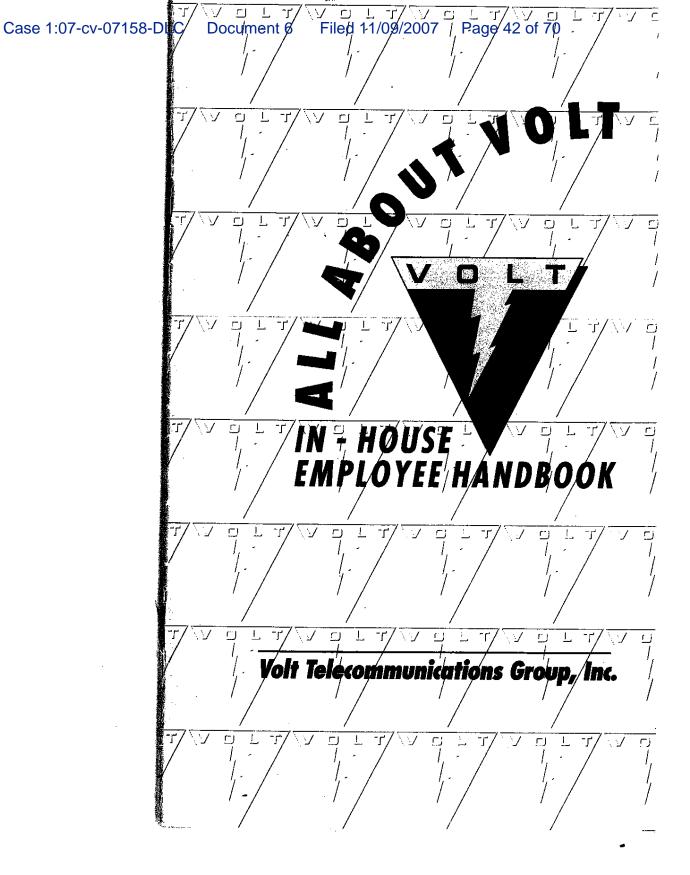
Ву:___

Sharon H. Stern (SHS-3788)
Attorneys for Defendants
Volt Information Sciences, Inc., and
Volt Telecommunications Group, Inc.
The Chrysler Building
405 Lexington Avenue
New York, New York 10174
(212) 704-6068

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK				
•	REW CURRY,	Λ		
		Plaintiff,	Civil Action No. 07 CV 7158 (DC)	
	-against-		AFFIDAVIT OF SERVICE	
1	T INFORMATION SC T TELECOMMUNICA	CIENCES, INC. and ATIONS GROUP, INC.,		
		Defendants.		
:	TE OF NEW YORK)) ss.:		
	I, WENDY IS	SBISTER, being duly sworn,	state:	
	I am not a pa	arty to the action; am over e	ighteen (18) years of age; and reside in	
Pawli	ng, New York.			
	On October 2	2, 2007, I served a copy of I	Defendants' Answer to the Complaint,	
by de	positing a true copy of	the same, enclosed in wrappe	r, via overnight mail under the exclusive	
care a	nd custody of FedEx, a	iddressed to the following at t	he last know addresses set forth below:	
То:	Jonathan Ben-Asher, Beranbaum Menken 1 80 Pine Street, 32 nd F New York, New York	Ben-Asher & Bierman LLP loor	Wendy L. Isbister	
	to before me this y of October, 2007			

Notary Public State of New York
No. 010R4722675
Qualified in Nassau County
Commission Expires Feb. 28, 2011

EXHIBIT C



	80
	Ю

Document 6

Filed 11/09/2007 Page 43 of 70 ACKNOWLEDGMENT

I, (Print Name), hav
received my copy of the employee handbook of Volt Telecommunications Group
Inc., a wholly owned subsidiary of Volt Information Sciences, Inc. I understan
that this handbook is intended to provide me with information about the Company
general policies and is not a contract of employment. I understand that both th
Company and I have the right to terminate my employment with or without notice
and with or without cause at any time and that no Company officer except th
President and/or Executive Vice President of Volt Information Sciences, Inc. ha
any authority to enter into any agreements for employment or to make any agreemer
contrary to the foregoing and any such agreement must be a written and signe
agreement.
I will read and follow the policies described in the handbook. I understand that
violation of any of the Company's written or unwritten rules, personnel policies of
practices may result in my immediate discharge. I understand that the Compan
has the right to change, interpret, or cancel any of its published or unpublishe
personnel policies, benefits or practices without advance notice. Because the
Company's policies may change from time to time. I have been instructed to check
with my supervisor if I have a specific question about any Company policy of practice.
•
I have read, understand, and agree to be bound by the Company's Discrimination
Complaint Procedures, including Arbitration, and expressly waive my right to su
the Company, its agents and employees, in court and I agree to submit to final and
binding arbitration any dispute, claim or controversy arising between me and the Company that I would have been otherwise entitled to file in court.
Company that I would have been officials entitled to the in court.
,
Date
Printed Name
Signature of Employee
-
(12/14/2001)

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I. WHAT YOU SHOULD KNOW

FOREWORD

This employee handbook has been prepared to help you start your career with Volt Information Sciences, Inc. and its subsidiaries collectively referred to in this handbook as "Volt" or "the Company". It will familiarize you with the various employee benefits, programs, responsibilities, policies and procedures. Questions regarding information contained in this manual should be directed to your immediate supervisor or the Human Resources Department. In the event that there are policies and practices that you do not find in the manual and about which you have questions, please ask your supervisor for the information.

YOUR COMPANY HANDBOOK

The information contained in this employee handbook has been prepared as a general guide regarding Volt Information Sciences', Inc. policies, practices and procedures and is not all inclusive. Different divisions may have different policies. The policy that applies to your division applies to you. With publication of this handbook, all previous policies, benefits, practices and procedures relating to the matters contained herein are rescinded and replaced by this publication. However, the policies, benefits, practices and procedures contained in this book, and those that may be issued from time to time, are not a contract of any kind. Although they reflect current policy, they may be changed or rescinded at any time without prior notice at the Company's discretion. In some cases, the changes may be made by management and, in some cases, changes will be made by the Volt Information Sciences' Board of Directors.

Your employment relationship with Volt is at-will. Employment at-will reserves the Company's right and your right to terminate your employment at any time for any reason, with or without cause. No oral statement by an Officer, manager or supervisor will modify the at-will relationship. Only the President and Executive Vice President of Volt Information Sciences, Inc. are authorized to modify the at-will relationship and only in a written and signed agreement.

The enclosed information may differ according to the law of the state in which you work in which case Volt will comply with those laws. Whenever federal and state laws differ, the provisions of the appropriate law will apply. In general, applicable provisions are the ones most favorable to the employee.

Please take the time to read this brochure and save it for future reference

WELCOME

Dear Volt Employee:

offer you many challenging opportunities for personal as well as professional believe that the attainment of Company objectives is determined in large part by We are pleased to have you as a member of the Volt staff. You have joined a the realization of the personal goals of each employee. Accordingly, we hope to This reputation has been earned through the efforts of our employees. At Volt, we dynamic organization that has carned a worldwide reputation for quality services.

policies along with a look at our history. we do and what we expect from you on the job. It includes information on Volt's This handbook has been designed to acquaint you with Volt — who we are, what satisfaction.

have about Volt, its policies and your particular responsibilities. Your manager will be pleased to answer any additional questions that you may Of course, it is impossible for us to anticipate all of your questions in this handbook.

you success in your job. We hope that your career at Volt will be rewarding. The management of Volt, as well as all of your fellow employees, join us in wishing

Jerome Shaw

Executive Vice President

William Shaw

President

(12/14/2001)

grew and prospered. divisions were created and each had some noteworthy accomplishments as they companies in the country as its customers and joint venture partners. While It was over fifty years ago that two brothers, William and Jerome Shaw, moved With revenues over a billion dollars, the Company today counts many of the largest international company with headquarters in New York City and Orange, California. floor of 34 Park Row in New York City. Today, William Shaw is President and Volt's first office from their mother's kitchen table to larger quarters on the sixth developing the Company's products and services, the following subsidiaries and Jerome Shaw is Executive Vice President of Volt Information Sciences, Inc., an

classifications to a wide range of industries, as well as government agencies commercial, light industrial, electronic assembly and financial Group, Volt Human Resources and Volt Europe divisions from services are offered by the Company's subsidiary, Shaw & Shaw and universities. In addition, professional employer organization (PEO) States, Puerto Rico, Canada and Europe. Volt offers temporary personnel in various technical, information technology (IT) professional approximately 350 offices and on-site locations throughout the United personnel and professional placement services through its Volt Services international Staffing solutions such as managed services, temporary VOLT SERVICES GROUP markets a full spectrum of domestic and

Maintech is an independent provider of desktop support services. Graphics product lines as well as most PC and peripheral products. Also, the complete DEC, Sun, IBM RS/6000, HP Workstation and Silicon vendor, multi-site, large account environments. Today, Maintech supports and installing DEC systems and UNIX workstations for users in multia subsidiary of Volt Delta, has over 25 years experience in maintaining to the rapidly changing European, telecommunications market. Maintech, internationally. Volt Delta Europe, Ltd. is dedicated to delivering develop new technology in directory assistance for its customers, which directory assistance (411) systems in the country. Today, it continues to innovative, flexible and profitable Operator and Agent Services solutions include some of the largest telecommunications companies nationally and VOLT DELTA RESOURCES developed one of the first computerized

to be a leader in electronic yellow and white page systems internationally directories from "hot lead" to computerized "cold type". Volt continues to convert much of the former Bell System's white and yellow pages VOLT DIRECTORY SYSTEM/SERVICES DIVISION was the first

> finding products and services since 1971. DataNational publishes and Pages Advertising Programs and consumers an easy-to-use source for delivers 124 titles with a combined circulation of over 9.32 million copies. DATA NATIONAL has provided business owners affordable Yellow

sales support for the directory industry as well as specialty directory VOLT DIRECTORY MARKETING, LTD. specializes in telephone

programs that promote greater energy and water efficiency leading utilities. VIEWtech also administers utility rebate and financing systems, which are used by customer service representatives in the nation's VOLT VIEWTECH develops software and enhanced information

and repair. Volt Telecommunications Group is the sole-source provider to a number of Fortune 500 companies. Furnishing, and Installing), Wireless and Business Systems Integration. Plant Engineering, OSP Construction, Central Office (Engineering, provides end-to-end project-service work with its own employees. It wireless and many other industries. Volt Telecommunications Group full service provider of turnkey solutions to the telecommunications, cable, In this latter unit, it provides inside wiring and cabling and field installation provides these services through five separate business units— Outside VOLT TELECOMMUNICATIONS GROUP, INC., is a nationwide,

to start with a dream to make a dream come true." their future at their mother's kitchen table. As both of them always say, "You have As you can see, Volt has come a long way since William and Jerome Shaw planned

OUR PHILOSOPHY

our customers and a quality workplace for our employees. successfully in our marketplace. We firmly believe in providing quality service to to us is our people. It's your knowledge and expertise that enables us to compete commitment, we will continue to ensure our success and growth as a corporation meet our unique business challenges. At Volt, the most powerful resource available Volt believes in a work environment which fosters innovation and involvement to Through this

5

business units. The Mission Statement is: become "The Nationwide Full Service Provider" of turnkey services across multiple Volt Telecom Group created the Mission Statement to show our desire to grow and

single-source telecommunications and infrastructure Be the marketplace leader in providing high quality,

services, solutions and support.

YOUR RESPONSIBILITIES AS AN EMPLOYEE

covered here are general, basic guidelines. Throughout your handbook you'll find information on your responsibilities and Volt's programs, guidelines, benefits, and services. The employee responsibilities

interfering with our operations or with other employees. to act in ways reflecting favorably on Volt and yourself, and to avoid any activities your customers with respect as well as your fellow co-workers. You're expected At Volt, service to customers is everyone's responsibility. Your goal is to treat

You should maintain a neat businesslike appearance appropriate to your job.

YOUR SUPERVISOR

discuss problems, and will assist you in every way that is reasonable and possible. help you succeed in your job. Your supervisor is available to answer your questions, Part of your supervisor's responsibilities is to see that you are informed, and to

ORIENTATION SESSION

Case 1:07-cv-07158-DLC

scope of the organization and provide an opportunity to learn and ask questions Human Resources or your Branch Manager. about Volt. Usually, this orientation will be conducted by your supervisor and/or time you begin employment will be conducted to discuss general policies, the To help you become acquainted with the Company, an orientation session at the

EQUAL EMPLOYMENT OPPORTUNITY WORKPLACE AND HARMONY

throughout the United States and the World. Volt is very aware of the richly diverse population from which it draws its employees

a part, to compete now and in the future. No matter how you look at it, we are all work in cultural harmony prepares us as a Company, of which all of us at Volt are to be considerably different than they were in the past. Working together now to rather than to ignore or reject them because we do not understand them. The everywhere to seek to learn more about each other and our cultural differences, such diversity at home and abroad, and we encourage all of our employees employees and/or foreign partners. Volt believes that we are greatly enriched by World, indeed, is smaller and our daily contacts in the new millennium are likely In fact, we now operate on four continents, either with American, foreign national

related to employment, Volt remains committed to its policies of equal employment. In order to promote this harmony in the workplace as well as to obey the laws

recreational programs. compensation and participation in Volt sponsored educational, social and for training, transfers or layoff, promotion, rates of pay and other forms of classification. This includes, but is not limited to, recruitment, hiring, selection origin, age, veteran status, individuals with disabilities or other protected race, color, creed, religion, sex, sexual preference, marital or parental status, national Volt is committed to a policy of equal treatment and opportunity without regard to

opportunity and enrichment through diversity depends on the commitment and and supervisor. But in the final analysis, attainment of our goal of equal employment good faith effort of all of us. unit managers, and implementation is the obligation of every executive, manager Administration of this policy is the responsibility of Volt's regional, branch and

DRUG FREE WORKPLACE POLICY

or controlled substances, using or being under the influence of alcohol while on duty, whether on Company premises or not, including parking areas is strictly performance as well as the quality of work performed by the employee. For these misuse of drugs and alcohol can adversely affect both the environment, the job efficient work environment for its employees. The Company recognizes that the reasons, the sale, purchase, distribution, use or possession, of unauthorized drugs prohibited. Volt Information Sciences, Inc. is committed to providing a safe, healthful and

(12/14/2001)

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Alcohol & Drug Treatment Center 1-800-647-0042

(gs a veteran, or any other protected status. Offensive or harassing behavior will Volt is committed to a workplace free of discrimination and harassment based on not be tolerated against any employee. This policy covers vendors, customers, or Sace, color, religion, age, sex, national origin, sexual orientation, disability, status others who enter our workplace, as well as all employees. Supervisory or managerial

> personnel are responsible for taking proper action to end such behavior in their workplace. No employee of this company is exempt from this policy.

prohibited. This may include but is not limited to: age, sex, national origin, disability, status as a veteran or any protected status is Offensive conduct or harassment of a sexual nature, or based on race, color, religion,

- race, color, religion, gender, sexual orientation, age, body disability, or Comments which are not flattering regarding a person's nationality, origin,
- or other protected status. an individual or group because of national origin, race color, religion, age, gender, sexual orientation, pregnancy, appearance disability, marital ridicules, denigrates, insults, belittles, or shows hostility or aversion toward Distribution, display or discussion of any written or graphic material that

As a condution or employment, employees must abide by the terms of this policy.

Any employee found to be in violation of the Drug Free Workplace Policy will be subject to disciplinary action up to and including discharge. Please read and sign the form titled: Policy Regarding Illegal Drug Abuse (Form: VTC 253) contained in your new hire package.

As a condition of employment, employees must abide by the terms of this policy.

or unsafe practices; or as a follow-up procedure where the employee previously suspicion that the employee may be drug-involved; following workplace accidents

has tested positive or has completed a drug rehabilitation or counseling program.

Company further reserves the right to test any employee based on reasonable

decision to implement drug testing will be at the company's sole discretion. The testing regulations shall be governed by those regulations. In all other cases the

confidence. Drug testing for employees subject to DOT and DOD substance abuse

themselves or others or whose positions involve a high degree of trust and

classified information or they are in positions involving the health or safety of Defense's (DOD) substance abuse testing regulations but either have access to are not subject to the Department of Transportation's (DOT) or Department of

The Company reserves the right to conduct random drug tests on employees who

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Any employee in need of assistance due to drug use can get confidential counseling and referral from the following outside sources:

Substance Abuse Center

1-800-662-HELP

- Offensive physical actions, written or spoken, and graphic communication (for example, obscene hand or finger gestures or sexually explicit
- (for example, brushing up against someone in an offensive manner). Any type of physical contact when the action is unwelcomed by recipient
- Expectations, requests, demands or pressure for sexual favors.
- Slurs, jokes, negative stereotyping, posters, cartoons, and gestures that

when any of the following are true: Any such offensive conduct will be considered a prohibited form of harassment

- consequence regarding employment decisions or status There is a promise or implied promise of preferential treatment or negative
- Such conduct has the effect of creating an intimidating or hostile or offensive work environment, or unreasonably interferes with a person's work performance.
- who believes he or she is being discriminated against as a result of harassing behavior yet takes no action to end it is also subject to disciplinary action. Anyone behavior is encouraged to report it. this type of behavior. Any supervisor or manager who has knowledge of such up to and including termination, will be taken against any employee engaging in Harassment is considered a form of employee misconduct. Disciplinary action, A third party is offended by the sexual conduct or ommunication of others.

of harassment or for cooperating in the investigation of a complaint. It is the policy of Volt that no one will be retaliated against for making a complaint

and will be treated confidentially to the extent possible and appropriate action in New York at 212-704-2423. All harassment charges will be promptly investigated level of management. You may at any time contact your divisional Human Resource the complaint process by contacting your supervisor, or, if appropriate, the next Department or you may contact the Corporate Vice President of Human Resources An employee who feels harassed, discriminated or retaliated against may initiate

OVolt is committed to providing a work environment that is free from sexual Charassment.

The Equal Employment Opportunity Commission established guidelines setting forth the following criteria by which to determine the unlawfulness of sexual

Submission to some "conduct of a sexual nature" is either an explicit or implicit term or condition of employment; and/or

offensive work environment, and/or individual's work performance or creating an intimidating, hostile, or The harassment has the effect of substantially interfering with the

Document 6

employment decision. Submission or rejection of such "conduct" serves as the basis for an

While it is not possible to list all those additional circumstances that may constitute sexual harassment, the following are some examples of conduct which if nwelcome, may constitute sexual harassment depending upon the totality of the

Unwelcome sexual advances - whether they involve physical touching or

Vinwelcome sexual advances - whether they involve physical toucly not;

Sexual epithets, jokes, written or oral references to sexual conduct, regarding one's sex life; comment on an individual's sexual activity, deficiencies, or prowess;

Offensive e-mail or voice are individual's portion of the conduct, or prowess; regarding one's sex life; comment on an individual's body, comment about Sexual epithets, jokes, written or oral references to sexual conduct, gossip

Offensive e-mail or voice-mail messages;

Displaying sexually suggestive objects, pictures, cartoons;

Unwelcome leering, whistling, brushing against the body, sexual gestures,

suggestive or insulting comments; Discussion of one's sexual activities; and, Inquiries into one's sexual experiences;

Sexual harassment has no place in the ordinary course of business and is, in fact, Any other conduct or behavior deemed inappropriate by Volt

effect on employment decisions such as promotion, termination, hiring, training, employee to perform job duties. wage and salary increases, transfer, or any other matter that affects the ability of an unlawful. Specifically, it must in no way be exercised for purposes of an intimidating

our long-standing policies against discrimination based on sex. at any work place constitutes sex discrimination and is hereby prohibited under It is the policy of Volt Information Sciences, Inc. that such sex-related intimidation

of a sexual harassment complaint is similarly unlawful and will not be tolerated. harassment or retaliation against individuals for cooperating with an investigation Further, any retaliation against an individual who has complained about sexual Also, employees are responsible for respecting the rights of their co-workers. between purely social overtones and those intended to affect working conditions. should be made to sensitize themselves and their employees to the differences atmosphere of unwelcome sexual overtones exists in their workplaces. Every effort Managers and supervisors are to insure that no such intimidation or harmful

exhibiting the highest standards of social business behavior at all time. employee respecting the dignity and personal wishes of each employee and by 212-704-2423. All harassment charges will be promptly investigated and will be warranted. We hope, however, that such complaints can be avoided by each Volt may contact the Corporate Vice President of Human Resources in New York at treated confidentially to the extent possible and appropriate action taken where may at any time contact your divisional Human Resources Department or you to discuss with management any charges of sexual harassment. Please contact within the Company and its subsidiaries and divisions. You are, in fact, encouraged your supervisor immediately or, if appropriate, the next level of management. You It is the right of any employee to make a complaint through any complaint procedure

limit during which a claim may be filed. phone numbers for these agencies are posted. Please note that there may be a time harassment. Please see the bulletin board in your office where the addresses and with your local, state or federal agency responsible for investigating sexual Using Volt's complaint procedures does not prohibit you from filing a complaint

action may range from counseling to termination of employment, and may include such other forms of disciplinary action, as we deem appropriate under the employees, we will take action as is appropriate under the circumstances. Such If it is determined that inappropriate conduct has been committed by one of our

KEEP US INFORMED

oto be notified in case of emergency, tax exemptions, educational degrees, diplomas or any other change that should be entered on your personnel record. possible when there is a change in your name, address, telephone number, person Inform the Human Resources Department or your Branch Manager as soon as

Oto the Benefits Department: marriage, divorce, legal separation, insurance Employees covered by the Health and Life Plans must report the following changes result in a loss of benefits. cobeneficiaries, newborn, adoption, or a child who stops being a dependent as defined to the Plans. Failure to report such changes within 30 days of the change may

1/0 OFELEPHONE ETIQUETTE AND USE

Telephone techniques are extremely important in business today. A friendly but businesslike telephone manner should always be projected. It is important to identify Lyourself and your department so the caller is certain they have the correct extension. Your fellow employees and our customers will always appreciate the courtesy you

Because the telephone plays a very important role in our business, it is essential that personal calls be kept to a minimum and, even when absolutely necessary, be as brief as possible.

CATTENDANCE

right place at the right time. As an employee, you should be conscientious about your attendance and punctuality at work. Attendance is also an important measure of your overall performance on the job. Tyou're part of a team, and getting the work done depends on everyone being in the

To avoid conflict with the operations of the department, employees should schedule personal affairs outside of regular working hours.

Cach employee is required to call his/her supervisor within one hour prior to his/ther scheduled starting time if he/she expects to be absent from work. Please contact examined by a doctor of the Company's choice. Employees failing to notify their a physician's statement. The Company reserves the right to request that you be supervisor at all may be subject to disciplinary action, up to and including discharge. your supervisor each day you are absent from work. Your supervisor may request

> resignation unless a medical excuse is provided by a physician. Failure to call in for three consecutive days will be considered a voluntary

can result in disciplinary action or dismissal. supervisor within one hour of their scheduled starting time. Excessive absences, time. Should arrival at work be unduly delayed, employees must notify their Employees are expected to be at their places of work ready to start at the scheduled lateness or failure to give your supervisor advance notice for absence or lateness

EMPLOYMENT OF RELATIVES

required to leave Volt. The decision as to which employee will leave is left solely supervision, security, safety, or morale. If employees who marry, or who become within 45 days after the relationship arises. to the employees involved and must be given to the Human Resources Department within 30 days after the relationship arises, then one of the employees will be he or she is qualified, if such a position is available. If no such position is available related by marriage, do work in a direct supervisory relationship with one another, supervisory relationship with one another, or otherwise pose difficulties for Volt will attempt to reassign one of the employees to another position, for which be permitted to continue employment with Volt only if they do not work in a direct marriage. Present employees who marry, or who become related by marriage, will as spouses, children, sisters, brothers, mother, or father, and persons related by pose difficulties for supervision, security, safety, or morale. "Relatives" are defined will not work in a direct supervisory relationship, and (2) the employment will not Relatives of present employees may be hired only if (1) the individuals concerned

EMPLOYMENT ELIGIBILITY VERIFICATION

permanent residence, or aliens authorized by the Attorney General or the Immigration Act to work in the United States. hires persons who are citizens, persons lawfully admitted to the United States for In compliance with the Immigration Reform and Control Act of 1986, Volt only

some other acceptable document(s), the employee will be terminated not submitted the original documents to replace the receipt/letter or, in the meantime, days, the employee will be terminated. If, after 90 days of hire, the employee has documentation or a receipt/letter requesting appropriate documentation within three within 3 days of hire. If the employee is unable to produce the required All newly hired employees must provide proof of work eligibility and identification

CONCERNS AND ISSUES PROCEDURE

 \mathcal{C} lead or a designee may meet with the supervisor and employee for the purpose of raise the subject matter with your immediate supervisor or the subject matter remains Soncerns or issues you may have. If you believe that it would not be appropriate to unresolved, please submit a written statement to your Division Head. Your Division Repartment. gesolving your issues. You may at any time contact the Human Resources management. Arrange a meeting with your immediate supervisor to resolve any You are encouraged to discuss your work-related concerns and/or issues with

And external to whom you may make a complaint.

Retaliation against any employee making such a complaint is strictly prohibited. Confidentially to the extent possible. Appropriate action will be taken where Charranted. Refer to Discrimination Complaint Procedures for other sources, internal Hr your concerns involve discriminatory practices, contact your Human Resources Department immediately. Charges will be promptly investigated and will be treated

d File DISCRIMINATION COMPLAINT PROCEDURES

Tryou believe you have been discriminated against on the basis of age, race, color, beligion, sex, national origin, physical or mental disability, marital status, sexual affectional preference, sexual harassment, pregnancy, citizenship, or veteran status, notify your supervisor immediately. Your supervisor will make every effort resolve the issue. If your complaint is against your supervisor, make your de promptly investigated and will be treated confidentially to the extent possible and appropriate action taken where warranted. Resources Department. Should you wish, you may also contact the Corporate Cice President of Human Resources in New York at (212) 704-2423. Charges will complaint to his/her supervisor. At any time, you may contact your Human

Setaliation against any employee making such a complaint is strictly prohibited.

ALTERNATIVE DISPUTE RESOLUTION

Volt believes that alternative dispute resolution is the most efficient and mutually Issues Procedure and arises out of, involves, affects or relates in any way to any dispute, controversy or claim which was not settled through the Concerns and satisfactory means of resolving disputes between Volt and its employees. Any

> Volt shall prevail Arbitration Association in the state where employee is or was last employed by resolved by final and binding arbitration. The applicable rules of the American actions of Volt's other employees, under Federal, State and/or local laws shall be but not limited to disputes, controversies or claims arising out of or related to the the conditions of your employment or the termination of employment, including employee's employment or a claimed breach of that employment relationship or

claim with any governmental administrative agency as permitted by applicable are precluded under state law. This does not prevent you from filing a charge or that would be available in a comparable judicial proceeding, unless such remedies provide the reasons for the award. The Arbitrator may provide for any remedies prevailing party. The award shall be in writing, signed by the arbitrator, and shall The arbitrator shall be entitled to award reasonable attorneys' fees and costs to the

is a condition of your employment. Arbitration is an essential element of your employment relationship with Volt and

For further details, please contact your Human Resources Department

PERSONNEL RECORDS AND PRIVACY

personal or employment references on former or current employees, legal requirements. Supervisors and other employees are prohibited from providing released to anyone outside Volt with your written permission or to comply with Information maintained in the personnel file is treated confidentially and is only

and job title unless you provide a release in writing. law enforcement agency any information other than your dates of employment Volt will not provide to any credit reference, potential employer or any other non-

CORRECTIVE ACTION

Company's discretion, supervisors may, in most cases, use the following disciplinary procedures based on their evaluation of the circumstances. procedures. However, supervisors are also authorized to shorten or by-pass these any of its employees at any time, with or without cause. Without limiting the The Company reserves the right, at its discretion, to terminate the employment of

facts that might change or improve the situation. option. Of course, the employee will have the opportunity to respond and present not improve, the supervisor may repeat the measure or implement a more severe of not correcting the problem up to and including termination. If the situation does improve. During this oral warning, the supervisor will point out the consequences employee's problem and together they can determine ways for the employee to Oral warning: In a frank and open manner, the supervisor should identify the

further action if those performance expectations are not met. had read and understood it. The form will list the points covered in earlier will use the appropriate form that the employee is asked to sign to show that he/she o improve the situation, more formal action may be necessary. The supervisor Final/Written warnings: If discussions between the supervisor and employee fail discussions and will specify the expected improvement and timeframe, as well as

Fromotion. An employee must demonstrate sustained satisfactory improvement before a written warning expires. The employee is expected to maintain satisfactory performance or the corrective action process will continue or termination will result. Human Resources must review any proposed discharge. While on written warning, salary uncreases will be deferred and the employee will not be eligible for transfer or

EERFORMANCE APPRAISALS

On the key to moving ahead at Volt is performance. Volt appraises each employee's These appraisals will be a factor in determining merit increases and promotability. 🎁 appropriate. manager in each Division to review your performance more frequently if the In-the-job performance periodically. The exact frequency of performance appraisals conditions merit it. This review will be based upon specific performance criteria. will depend upon which Division employs you. Also, it is the option of every

Performance appraisals can benefit your future performance. unclude ideas, suggestions and steps for you to follow to make these improvements Your performance appraisal is a useful document. It lists areas where you are strong and areas where you could use some improvement. Your supervisor will

other, raise questions, get answers, talk over good points and areas needing Communication between the two of you. It helps you clarify expectations of each Your supervisor will discuss your appraisal with you. This can lead to better improvement as well as set goals for the future.

> employee's review date, delays may occur. Employees will be asked to sign the appraisal form to assure that they have seen and discussed their appraisal with their supervisors. Although every effort will be made to perform evaluations on or about the scheduled

EMPLOYEE CLASSIFICATIONS

minimum of thirty (30) hours per week. A regular full-time employee is an employee who is regularly scheduled to work a

less than thirty (30) hours per week. A regular part-time employee is an employee who is regularly scheduled to work

as needed basis as determined by his/her supervisor. An on-call employee has no regular schedule of hours and is called to work on an

a job of limited duration arising out of special projects, abnormal work loads or emergencies. A temporary employee, working directly for Volt, is defined as an employee holding

Regular employees noted above are divided into two classes, "Exempt" or "Non-

or outside sales capacity for purposes of the Federal Fair Labor Standards salary place them in a bonafide executive, administrative, professional Act and applicable state law. Exempt Employee - An employee whose job duties, responsibilities, and

Non-Exempt Employee - All other employees who are not classified as Standards Act and/or applicable state laws. paid for all overtime hours worked in accordance with the Fair Labor Exempt are classified as Non-Exempt. Non-Exempt employees must be

II. YOUR BENEFITS

HEALTH AND DENTAL INSURANCE

conflict exist between the plan documents and the information in this handbook, the plan documents will govern. you will obtain from your supervisor or the Benefits Department. Should any are eligible for coverage. Plan details are described in a separate booklet, which employees who are regularly scheduled to work a minimum of 30 hours per week month following one month of continuous employment. Regular full-time dental insurance coverage for you and your eligible dependents on the first of the Volt provides comprehensive hospitalization, medical, prescription drug plan and

enrollment form(s) is not submitted within the first two weeks of employment, supervisor within the first two weeks of your employment. Your supervisor will your next opportunity to participate in the plans will be during Volt's annual open forward your enrollment form(s) to the Benefits Department for you. If your To enroll, please review, complete and return your enrollment form(s) to your

enrollment period.

completing the appropriate form, which accompanies your medical and dental contributions required. Your pre-tax contributions occur weekly through payroll information package. deductions if you authorize your contributions to be made on a pre-tax basis by Inc. reserves the right to make changes in the Benefits Coverage and in the level of Employees and Volt share the cost of these coverages. Volt Information Sciences,

MODIFIED HEALTH CONTRIBUTION PLAN

taxes, and Social Security (FICA) taxes are deducted contributions for health insurance premiums to be paid before federal and state which offer substantial tax savings. The IRS Section 125 allows employee Through the use of this Plan, you will be taking maximum advantage of tax laws

do the benefits you receive, but your take home pay will increase. Under the Plan, the amount of your insurance payment does not change and neither

means of action of the Board of Directors. Should any conflict exist between time, to any extent and in any manner it may deem necessary or appropriate, by The Company reserves the right to amend or terminate this Plan and Trust at any

will govern. the plan documents and the information in this handbook, the plan documents

COBRA RIGHTS

costs of continuing coverage for you and your eligible dependents are paid for continuing coverage for you and your eligible dependents are paid for continuity by you. In addition, you will be charged an administrative fee as allowed by law. Your dependents are eligible to continue their insurance for up to 36 months. Ounder specified conditions. For a detailed description of your COBRA rights, —please refer to your Medical/Dental Plan information package. Onsurance Plans and you become ineligible for medical/dental coverage as a result of reduction in work hours or termination (for reasons other than gross misconduct), The Consolidated Omnibus Reconciliation Act of 1985 (COBRA) allows eligible 6 employees and their dependents the option of continuing their group health/dental nsurance under specified conditions. If you participate in Volt's Medical/Dental you and your dependents are eligible to continue insurance up to 18 months. The

EBASIC LIFE INSURANCE AND SUPPLEMENTAL LIFE INSURANCE BASIC LIFE INSURANCE AND SUPPLEMENT

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Continuous employment. Volt pays for the total cost of this coverage.

Of the life insurance is based on the prevailing contribution rates. □Exempt and Non-Exempt employees may elect to purchase Supplemental Life Insurance equal to that which the company provides under the basic plan. The cost

Syou are entitled to under basic life plan. Eligibility is the same as the Basic Life Plan and coverage is company paid. Accidental Death & Dismemberment coverage is equal to the amount of insurance

Cas Cas Coverage and in the level of any contributions required. Should any conflict exist Obetween the plan documents and the information in this handbook, the plan Information Sciences, Inc. reserves the right to make changes in the Benefits Please refer to the benefits booklet appropriate for your division for details. Volt

(12/14/2001)

WORKERS' COMPENSATION INSURANCE

work-related illness or injury must be reported immediately to your supervisor during time lost due to the eligible illness or injury. time benefits) in accordance with the law of the state in which you work. Any work, you may be entitled to Workers' Compensation Benefits (medical and lost If you are injured or become ill during the course of your job and as a result of your between Worker's Compensation payments and the employee's regular wage rate Employees may elect to use earned sick leave or vacation to pay the difference

section on Leaves of Absence. For leave of absence policy relating to Workers' Compensation, please refer to

BUSINESS TRAVEL INSURANCE

under these programs, you will be specifically notified of your extended coverage is paid for by the Company. If you are covered for travel other than business travel which may occur on a company authorized business trip. Volt also provides a business. Coverage for each program begins on your first day of employment and Worldwide Assistance Program for all employees who travel on authorized company Volt provides Travel Accidental Death and Dismemberment Insurance for accidents

LONG-TERM DISABILITY INSURANCE

of employment. You will be advised if you are required to contribute to the cost of employees are eligible to participate on the first of the month following one month the case of a disabling illness or injury. Regular full-time salaried, Exempt the coverage. The Long-Term Disability Plan is designed to provide partial income protection in

department. To learn the details of the coverage, please contact your Human Resources

documents will govern. between the plan documents and the information in this handbook, the plan Coverage and in the level of any contributions required. Should any conflict exist Volt Information Sciences, Inc. reserves the right to make changes in the Benefits

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maximum of thirty (30) hours in any calendar year. Each employee is required to call his/her Supervisor within one hour prior to his/her scheduled starting time if he/she expects to be absent from work. Employees must contact your Supervisor an employee scheduled for thirty (30) hours per week regularly may be paid a of personal or sick leave that may be paid in any calendar year is forty (40) hours; sick/personal time does not accumulate from year to year. The maximum amount each day you are absent from work. completing one year of employment at Volt Telecommunications Group, Inc. Your week regularly may be paid for absence due to illness or personal reasons after Full time non-exempt employees who are scheduled to work forty (40) hours per

Filed 11/09/2007 Absence in excess of three consecutive days requires a physician's note. Absence, Unused sick pay is not paid upon separation. paid or unpaid, if excessive, can lead to discipline up to and including termination.

Exempt employees may be paid for personal and sick leave at the discretion of their managers.

SALARY CONTINUATION PROGRAM

Exempt employees who regularly work a minimum of forty (40) hours per week and who have completed six months of continuous employment are eligible for benefits, dependent upon medical necessity, under Volt's Salary Continuation Plan.

Pror serious and/or extended illness which exceed one week in duration, salaried The employee must provide a medical certification. employees will receive compensation based on the employee's length of service.

Service thereafter, to a maximum of thirteen (13) weeks in a twelve-month period. Volt's Salary Continuation Plan coordinates benefits with any Short-Term Disability Cpayments as mandated in the States of California, Hawaii, New Jersey, New York, New John and the Commonwealth of Puerto Rico. In no event will the months of continuous service. An additional week is earned for each month of If eligible, you will receive six (6) weeks' salary continuation following six (6) gemployee's normal weekly salary. Your paid disability leave is credited against combination of Salary Continuation payments and Disability payments exceed an လိုour FMLA (Family Medical Leave Act) leave entitlement.

Under no circumstances will Salary Continuation be paid to an employee: for duty or upon termination of employment. absences not due to employee's illness or injury, to extend a vacation, for military

HOLIDAYS

As a full-time employee you are entitled to eight paid holidays per year. They are

President's Day New Year's Day Memorial Day Independence Day Christmas Day Thanksgiving Day Labor Day Day after Thanksgiving

to receive holiday pay. work either of these two days because of illness, a physician's note will be required qualify for holiday pay, you must work the scheduled work day before and after the holiday except in the case of approved vacation time. Should you be unable to Full-time employees are scheduled to work at least 30 hours or more per week. To

will receive your holiday pay plus an extra day's regular pay. If you are a Non-Exempt employee and asked to work on a scheduled holiday, you

401(k) SAVINGS PLAN

you will own all of the matching contribution already made as well as all future you work 1000 hours in each year) at which time you will be fully vested. Thereafter, matching contributions will vest at 20% per year over a five year period (providing Savings Plan. For employees with less than five years of service, the Company salary contribution by eligible participants in the Volt Information Sciences Inc., vested. The Company will make a matching contribution of 50% of the first 3% of Company Stock. Your contributions and subsequent investment earnings are fully account is to be vested from among a menu of available investments, including compensated" according to IRS regulations (those earning over \$85,000) may be The plan offers a number of investment options and you may choose how your restricted to a lower contribution level starting with the second year of employment. up to 15% of your total earnings on a pretax basis. Employees defined as "highly dividend accumulations until you receive the funds. You may elect to contribute deferred means that you do not pay taxes on these savings or on the interest and deferred funds through payroll deductions to provide for your retirement. Tax party, you are eligible to join the Plan as of your date of hire. You can set aside taxparticipating subsidiaries or affiliates, and who is not assigned to work at a third As a regular full-time employee of Volt Information Sciences, Inc. or any of its

(12/14/2001)

Z

Employee Stock Ownership Plan ("ESOP"). After January 1, 2001, this plan was

employees who were eligible for the ESOP at the time were fully vested and their contribution to the 401(k) and the ESOP was merged into the Savings Plan. Those amended to suspend further contributions and the Company substituted a matching

conflict between the plan document and the SPD or the information in this handbook the plan document will govern.

Plan operates under the terms of a formal plan document. Should there be any

Description ("SPD"), which will be provided to you separately. However, the

A more detailed explanation of the Plan will be provided in the Summary Plan

funds transferred to a separate account in the 401(k) plan.

and an SPD will be mailed to you as soon as practicable after hire. For further information, please contact the Benefits Department. A 401(k) packet

DEPENDENT CARE FLEXIBLE SPENDING ACCOUNT

Case 1:07-cv-07158-DLC

advantages way for you to pay for dependent care expenses while you (and your spouse) are at work, allowing, you to save money on the cost of these services. The Dependent Care Flexible Spending Account, or "FSA", provides a taxemployment. New hires are eligible to participate on the first of the month following 30 days of

When you incur dependent care expenses, you are reimbursed from your FSA. As you elect to contribute to the account is taken from your pay on a pre-tax basis. When you participate in the Dependent Care FSA, you save on taxes. The amount

> there is also a tax credit program offered by the Federal government, please be sure to read the FSA Brochure in detail to determine whether or not this is the best information in this handbook, the plan documents will govern. Plan for you. Should any conflict exist between the plan documents and the

service in which they worked at least 1000 hours are immediately fully vested.

matching contributions. Employees who already have five (5) or more years of

You are always 100% vested in your own contributions.

about December 31 of each year. If an employee leaves before June 30 or December The Company matching contribution will be made twice a year about June 30 and

extent and in any manner it may deem necessary or appropriate, by means of action of the Board of Directors. The Company reserves the right to amend or terminate this Plan at any time, to any

VACATION

at least thirty (30) hours per week regularly, but less than forty (40) hours per week, and have completed continuous service of at least one year are entitled to week regularly and part-time non-exempt employees who are scheduled to work Full-time non-exempt employees who are scheduled to work forty (40) hours per take their vacation to the extent of the time accrued as described below.

completion of the fifth year of service, vacation accrues for regular full-time employee and 5.0 hours per month for regular part-time employees. After the of service, vacation accrues at a rate of 6.667 hours per month for regular full-time of the service year to qualify for vacation. time employees. An employee must be actively employed by Volt on the last day employees at a rate of 10 hours per month and 6 hours per month for regular part-2.5 hours per month for regular part-time employees. Beginning the second year than thirty (30) hours per week. During the first year of service, vacation accrues non-exempt employees who work at least forty (40) hours per week, but not less for regular full-time employees at a rate of 3.333 hours per month, and at a rate of Volt Telecommunications Group, Inc. provides vacation pay for regular, full-time,

If you leave Volt during the first year of service, you will not be paid any vacation. be paid to you in your final paycheck. No vacation is accrued during any leaves of If you leave after the first year of employment, your accrued unused vacation will

Human Resources, Volt Information Sciences, Inc., New York. maximum of 120 hours into the next fiscal year. Any time greater than 120 hours, of the department. Under unusual circumstances, you may carry forward a Preference will be made based upon your length of service with Volt and the needs Selection of the vacation dates you choose are subject to your supervisor's approval. must be approved by your Vice President/Division Manager and the Vice President

continuous service. During the first year of service, vacation accrues at the rate of year of continuous service, and three weeks vacation after completion of five years Exempt employees are eligible for two weeks vacation after completion of one

at any time, to any extent and in any manner it may deem necessary or appropriate.

such as aunts, uncles and cousins. You are expected to contact your supervisor to advise him/her of your absence. or parent-in-law. One day paid leave may be granted for the funeral of other relatives members include parent, spouse, brother, sister, child, grandparent, grandchildren for a maximum of three consecutive work days off with pay. Immediate family In the event of the death of a member of your immediate family, you will be eligible

EMPLOYEE REFERRAL PROGRAM

1:07-cv-07158-DLC Referral Policy in their new hire package or contact Human Resources for details referring a job applicant if that applicant is hired and successfully completes four As a Volt employee, you may be able to refer qualified job applicants to fill open positions at Volt Telecommunications Group. An award will be paid to an employee (4) months of continuous employment. Employees may refer to the Employee

REINSTATEMENT OF BENEFITS (BRIDGING)

Case Plan immediately. on the first of the month following one month of continuous employment. Also, if In the event you return to work for Volt, you will be eligible for health care coverage be used to calculate your vacation entitlement. You are eligible to join the 401 (k) you return within a five-year period, your previous Volt employment service will

III. LEAVES OF ABSENCE

pay for reasonable and definite period of time. You may apply for the following from work. Therefore, it is the Company's policy to grant leaves of absence without Volt recognizes that there are occasions when employees require a leave of absence

supervisor of your military leave dates. reserve obligations as required by law. You may use your available vacation time, if you wish, while on military leave. Please notify your Military Leave: Volt will grant unpaid absences because of military

details, please ask your supervisor for a copy of Volt's Family Medical which you work may have its own Family Medical Leave law. For specific employed by the Company for at least 12 months and performed at least health condition which makes the employee unable to perform his/her who has a serious health condition; and (4) the employee's own serious measured backward from the date an employee uses any FMLA leave up to 12 weeks of unpaid family leave during a "rolling" 12-month period job functions. You are eligible for a Family Medical Leave if you were child; (3) to provide care to an employee's spouse, son, daughter or parent for: (1) the birth and care of a newborn; (2) adoption or foster care of a Family Medical Leave (FMLA): Each qualifying employee will have 1250 hours of service during the previous 12-month period. The state in

or your Branch Manager for specifics on Volt's policy in the state where you work which may differ from the federal FMLA and Volt's policy. regarding Pregnancy Disability Leave, please contact Human Resources Pregnancy Disability Leave: Consistent with the requirements of law

workers' compensation claim. At the end of your medical leave, you will absence may be granted to an employee for a health condition related to a be returned to the same or similar position, unless the position has been Workers' Compensation Leave: A maximum of three months' unpaid

lasts for three weeks or more. You do not earn paid-time off while on an unpaid leave of absence that

work, Volt will comply with the higher standard. Should the policy be found to conflict with laws in the State where you

pay for up to two (2) weeks. However, any compensation you receive for granted a leave of absence for jury duty. You will receive your regular Jury Duty Leave: Upon presentation of a summons, employees will be

summons to justify your absence. when you receive your jury summons. He or she will need a copy of the benefit to meet this obligation. Please contact your supervisor immediately Jury Duty is more than two (2) weeks, you may use your available vacation your service exclusive of travel must be submitted to Volt Accounting. If

Failure to return from a leave on the expected return date will be considered a voluntary resignation

All other leaves are at the discretion of the Company.

IV. YOUR PAY

TIMEKEEPING

sheet the employee certifies that all information is complete and correct. each employee and countersigned by your supervisor. By signing the time card/ in and initial the actual hours you worked. All time cards/sheets shall be signed by premises. Should you forget to punch or sign in or out, your supervisor will write and end of the off-duty meal periods, as well as anytime you leave the company hours that you have worked each day. All Non-Exempt employees are responsible for punching or signing in and out at the start and end of your work day, at the start Your time card or time sheet is your statement to our Payroll Department of the

employee to punch or sign your time card or time sheet. Any of these acts are grounds for immediate termination. Never punch or sign another employee's time card or time sheet nor permit another

obtained from your supervisor. basis and to submit it to your supervisor for signature weekly. Cards/Sheets can be It is your responsibility to accurately maintain a time card or time sheet on a daily

PAYDAY

week. Pay checks are normally issued every Friday for work performed the previous

PAYROLL DEDUCTIONS

of all deductions on each paycheck stub as well as your gross and net pay and yearby the Collective Bargaining Agreement (if applicable). You will receive a record overtime, or any other type of compensation for work performed. You may also to-date earnings. Questions regarding your paycheck should be referred to your dependent care, certain savings account or credit unions and amounts authorized authorize Volt to deduct for certain health and welfare benefits, 401(k) program, Disability, if applicable, social security (FICA) from the employee's regular pay, supervisor The law requires employers to withhold Federal, State, City Income Tax, and State

You may arrange to have your net pay deposited directly to your bank account. Authorization forms are available from the Payroll Department or your Branch Manager.

OVERTIME

There may be times when it is required for Non-Exempt employees to work beyond their normal hours. Overtime work must be authorized and scheduled by your supervisor. Whenever practical, your supervisor will give you advance notice of when you will be needed to work overtime.

Overtime work, when authorized, is normally paid at a rate of time and one half for all hours in excess of 40 hours worked each week unless the law in the state in which you work requires other legal overtime pay. Paid time off for vacation, holidays, or sickness will not be considered as hours worked in the computation of overtime in any one week.

V. WORK ENVIRONMENT

HOURS OF WORK

The Company generally follows a normal work schedule of forty hours per week, eight hours per day, for five consecutive days per week.

The weekly and daily work schedule of part-time employees will depend on the nature of their job assignments.

Exempt employees' duties may include work beyond the normal work schedule and weekend assignments, as the activities of the Company require.

The length of meal periods will vary according to the schedule at your workplace but in any event will be no longer than one hour.

SAFETY

Keeping our working conditions safe and healthy is everybody's business. If you see anything that poses a safety risk, report it to your supervisor immediately or to the Safety Director or to Human Resources.

Volt requires your participation in emergency drills. You are required to keep your work area free of unsafe or hazardous conditions and to report such conditions to your supervisor. You may do so anonymously and without fear of reprisal.

You are also required to cooperate with Volt's safety rules and regulations. Any violations may be grounds for immediate termination.

According to Volt's Drug-Free Workplace rules every employee who has a work-related accident is subject to successfully passing an alcohol and/or drug test.

PERSONAL AND PLANT SECURITY

Any threat or act of violence made to any person or property must be taken seriously and should be reported to your Branch Manager and your Human Resources Department immediately.

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or without notice. The misuse of company property or theft is strictly prohibited are owned by the Company and maintained for business purposes. The Company reserves the right to enter or inspect work areas and facilities, including but not Desks, computers, telephones, file cabinets and other related business equipment limited to desks, file cabinets, E-mail, voice mail and computer storage disks, with

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ELECTRONIC COMMUNICATION POLICY

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under the circumstances, in the Company's sole discretion. information composed, received and/or sent by you on any Computer and/or System. system, voice mail system and facsimile systems (collectively, the "Systems") The Company reserves the right to change this policy at any time as may be required Volt employee, agent or consultant ("You") and any correspondence, data and/or provided by the Company or the Company's customer (the "Customer") to any the use of any computer ("Computer") or any telephone system, electronic mail Volt (the "Company" or "Volt") has established the policy set forth below concerning

MAY BE EVEN MORE RESTRICTIVE THAN VOLT'S BUT BY WHICH YOU MUST STRICTLY ABIDE. REGARD TO ITS/THEIR COMPUTER AND OTHER SYSTEMS THAT CUSTOMER MAY MAINTAIN ITS/THEIR OWN POLICIES WITH AS WELLAS WITH THE SYSTEMS AND EQUIPMENT PROVIDED FOR YOUR USE BY THE COMPANY OR A CUSTOMER. FURTHERMORE, A YOUR RIGHTS TO EXPECTATION OF PRIVACY IN THE WORKPLACE, CAREFULLY READ THE FOLLOWING AS THIS POLICY AFFECTS

employee of the Company or the Customer and are subject to periodic and should be treated like any other shared, non-private filing system(s) unannounced inspections with or without your knowledge or approval, and/or fax communications are accessible at all times by an authorized as the case may be. The contents of any Computer, e-mail, voice mail maintained therein nonetheless belong to the Company or the Customer, the Computer and/or System(s), the equipment and all data and information and possess the ability to select an individual password to gain access to the Customer. Although you may be assigned a Computer for your use purposes and communications for and on behalf of the Company and/or All Computers and Systems have been provided solely to facilitate business

- communications may be obtained with or without your individual that access to your Computer and e-mail, voice mail and/or fax your supervisor and/or be supplied upon request. Furthermore, be advised provided to you for work purposes must be regularly made available to All passwords and codes which you use on any Computer or System password(s)
- or download anything that you might consider as belonging to you no expectation of privacy therein. You are warned not to input, install, Customer. The Information is not your private property and you have Computer or System is the property of the Company and/or the "Information") composed on, maintained in, sent or received via any communications, messages, memoranda, data or code (collectively, All information, including but not limited to documents, on any Computer or System provided by the Company or Customer.
- respect to any personal, non-business use of the Customer's Computers stated policies herein and will not be considered private. The Customer which of course must never interfere with your job duties and incidental or occasional use. You must check with your supervisor with may prohibit any personal use of Computers and Systems, even minimal, may have a more restrictive policy with respect to personal use, which responsibilities, this information will be treated in accordance with the occasional personal use of the Computers and/or Systems may occur, are to be used for official business of the Company and/or the Customer. of assisting you in the performance of your job, the Computers and Systems and Systems. While the Company recognizes that a certain amount of incidental and Because the Company provides Computers and Systems for the purpose

message that you would not want read or heard by a third party. use any Computer or System to create and/or transmit any document or communications, without regard to content. Therefore, you should never necessary all data stored on the Computer, e-mail, voice mail and fax The Company reserves the right to monitor, access and disclose as

of e-mail does not insure its permanent removal, which may still be on the provider's system even after they have been deleted by you. Deletion accessible by Volt and/or Volt's Customer. the Customer as deemed necessary. Copies of e-mail messages may remain maintained, stored for future purposes and referenced by the Company or Back-up copies of e-mail, voice mail and fax communications may be

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e-mail is an expedient and informal communication, every transmission you must be cognizant of the permanent nature of your messages. While When sending to or from a Company or Customer provided e-mail system,

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is a written document. You should exercise the same degree of care when drafting an e-mail message as you would if sending a letter and at all times utilize appropriate professional business etiquette.

Ξ.

You must exercise extreme caution when forwarding an e-mail message or series of messages received from one source to any other. Disclosure of confidential information could have disastrous consequences and subject you to appropriate disciplinary action and potential liability. You are prohibited from forwarding a message marked "confidential" or "private" to any other party without the original sender's express knowledge and consent.

12.

Any Computer or System provided for work activities may never be used to transmit inappropriate and/or unlawful communications that may be seen as insulting, disruptive, or offensive by other persons, harmful to morale, or contrary to the business interests of the Company or the Customer. Such uses are strictly prohibited. Should you become aware of any such improper or inappropriate uses of Company provided systems, you should promptly notify the Company's Human Resources Department. Examples of inappropriate communications include, but are not limited to:

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- sexually-explicit or implicit messages, cartoons, pictures or jokes;
- unwelcome propositions or romantic notes/letters:
- ethnic, sexual, religious or racial statements or slurs;
- harassment or disparagement of others based on their gender, race, sexual orientation, age, national origin, disability or religious or political beliefs;

14.

- communication which disparages anyone or any entity, including but not limited to the Company or Customer, or its/their employees;
- other messages that can be construed to be offensive or unlawful.
- The Computer and Systems provided to you for work purposes must not be used for soliciting or proselytizing for commercial ventures, religious, political or personal causes, outside organizations or any other non-job-related solicitations.

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The Company's internal e-mail system includes a "Global Address List" providing for convenient and easy access to Volt employees. You may not send messages to all or a substantial group of the individuals listed on the Global Address List, without proper prior approval from the Company's computer Network System Administrator and the manager of your division/department.

- The Computer or Systems must not be used to send/upload or receive/download for printing and/or distribution copyrighted materials, trade secrets, proprietary financial information, or similar materials without prior authorization from Volt management and in compliance with applicable laws including but not limited to copyright laws.
- Access to the Internet is granted via Company's or Customer's Computer system for legitimate business reasons. Time used to survey or browse the Internet must be reserved for business needs and concerns only. You must avoid accessing or downloading information on the Internet that is not job specific or business related. Under no circumstances should a program or executable file be downloaded from an unknown or unsecure site. Any and all files downloaded from the Internet or received via e-mail must be scanned for viruses with a currently updated anti-virus utility approved by the Company or the Customer prior to opening.
- You will have access to information, which the Company and/or the Company's Customer deems at all times to be confidential, proprietary, trade secret and/or commercially sensitive information belonging to the Company and/or the Company's Customer ("Confidential Information") as a result of your use of Computer or Systems. The same duty of non-disclosure of Confidential Information applies with respect to electronically transmitted or maintained data and information, as with all other files, records, lists, documents, etc. Unauthorized disclosure without the permission of a proper Volt officer, of any Confidential Information, orally or in writing, including but not limited to by use of the e-mail or voice mail systems, is strictly prohibited.
- Do not install electronic games or other non-approved software applications on any Computer. In the event that a new program and/or other such foreign floppy disk or CD is to be introduced into the Computer, you are required to first contact the system provider's Network System Administrator and/or your supervisor. You will be responsible for any virus or other incompatibility facilitated by your unauthorized use of the Computer and/or Systems.
- While certain safeguards are used by the Company to prevent unauthorized access to any Computer and/or Systems, they cannot absolutely be guaranteed secure. You must also exercise great care to preserve and protect the security of the Computer and Systems.
- You are directed not to use the passwords and encryption keys assigned to or created by others to gain access to any Computer or System, nor to disclose your password or other such information to anyone other than your supervisor, unless otherwise instructed by the Company's senior management. Notwithstanding the Company's right to access any

(12/14/2001)

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Computer and/or retrieve any System communication(s), communications that do not involve you, should be accessed or read only by the intended

you have any questions concerning this policy, you should contact Volt's If you discover a violation of these policies, you are required to Human Resources Department immediately alert the Company's Human Resources Department. Also, if

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employment and any other legal action that the Company may elect disciplinary action, up to and including termination of your to pursue. or (ii) in any way violating this policy, you will be subject to If Volt discovers that you are (i) misusing any Computer or System

Page 64 of 70

11/09/2007 SMOKING

 $\frac{\Omega}{\Omega}$ For safety and health reasons, smoking is prohibited at all Volt premises and vehicles.

SOLICITATION AND DISTRIBUTION POLICY

CONTROL Oor your Branch Manager. No information may be posted without the express permission of Human Resources

circulation of printed material by employees is not permitted at any time in working areas. Solicitation or distribution of any printed material on behalf of any individual, or organization, group or society by non-employees is not permitted at any time on Company premises. 7 lunch breaks, break periods or other authorized times during the workday when pemployees are not engaged in performing their job functions. Distribution or Osolicitation and/or distribution or circulation of printed or electronic material by comployees is not permitted during working time; "working time" does not include

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TAPING/EAVESDROPPING ON CONVERSATIONS

eavesdropping, and privacy laws, Volt has instituted the following policy: and to ensure compliance with applicable federal, state, and local wiretapping and between employees and management. To facilitate such open communications, It is the policy of Volt to encourage open communications among our employees

event which in any way involves the Company or employees of the Company or event. This prohibition applies to any conversation, communication, activity or surreptitiously record or videotape any conversation, communication, activity or clients, or any other individual or other entity with whom the Company is doing any of the Company's subsidiaries or affiliate companies, or any customers or business or intending to do business in any capacity. President, Human Resources, no employee may openly or secretly tape or otherwise Without the prior written authorization of the Company's General Counsel or Vice

communication is taking place in person, over the telephone, or via any other or record, and regardless of where the conversation or communication takes place communications device or equipment, and regardless of the method used to tape any conversation or communication, regardless of whether the conversation or i.e. whether on or off the Company's premises. "Taping" and "Recording" under this policy includes the taping or recording of

employees or non-employees. No employee may eavesdrop on the conversations or communications of other

under applicable federal, state, or local laws. in is illegal under applicable law, violators may also be subject to prosecution law does not prohibit taping or recording. In addition, where the conduct engaged termination of employment. This policy applies even in instances where applicable Violations of this policy may result in disciplinary action up to and including

or recording would violate this policy, you should discuss the matter with the Vice President, Human Resources before engaging in any such activities. If you have any questions or concerns regarding whether any contemplated taping

VOLT NEWSLETTER

information on the activities within the divisions and subsidiaries of Volt. News Divisions and Subsidiaries. The newsletter provides employees with current Department for employees of VOLT INFORMATION SCIENCES, INC., its The VOLT Newsletter is published three times a year by the Public Relations

The purpose of the exit interview is to survey your opinions and recommendations

EXIT INTERVIEWS

anniversaries, promotions, transfers, marriages, births and graduations.

Telecommunications Group. In addition, your subsidiary produces a newsletter specific to the events of Volt

acquisitions, new office openings, community service projects and notable States and overseas cover such events as new contracts, new technology and feature articles submitted by employee correspondents from across the United

highlights significant employee announcements such as employee service performances by Volt employees. The "PEOPLE" section of the newsletter

STANDARDS OF CONDUCT

VI. STANDARDS OF CONDUCT

You are encouraged to submit articles of interest to

on the severity or degree of violation. Such infractions include but are not limited

Unauthorized disclosure of confidential information belonging to:

Volt and/or its customers

Infractions may result in disciplinary action, suspension or termination, depending

to the following:

activities of employees, but are intended to help employees by defining and

Standards of conduct at Volt are not for the purpose of restricting the rights and

protecting the rights and safety of all persons — employees, visitors and customers.

5 Telecommunications Group. 6 the Marketing Department. g

In the event your employment with Volt ends, an exit interview may be conducted

concerning your employment with Volt. You will be asked to complete an Exit Interview form for discussion purposes.

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Unauthorized possession of firearms and other weapons on

same. Employees are expected to report any prescriptive and noncompany property or reporting to work under the influence of beverages, narcotics or non-prescriptive or illegal drugs on Unauthorized use, possession and/or sale of intoxicating

prescriptive drugs that may impair their work.

company property

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Opportunity Policy.

Non-compliance with the company's Equal Employment



equipment or property. Stealing or unauthorized use of personal Dishonesty, stealing or unauthorized use or destruction of company

Engaging in fights or horseplay on company property

property belonging to any employee or customer.

Insubordinate conduct towards any supervisor or manager.

Sleeping while on scheduled work time

Neglect of job responsibilities or unsatisfactory performance

Sexual harassment or other unlawful harassment of another

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(12/14/2001)

(12/14/2001)

Smoking in unauthorized areas

Violating safety or health rules or practices or engaging in conduct

that creates a safety or health hazard.

Failure to report absence within the prescribed time Unexcused and/or excessive lateness and absenteeism.

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- Willful destruction of property

- Indecent or unlawful conduct detrimental to the best interests of
- Removing or defacing any notice or bulletin, or other information posted on the company's bulletin boards.
- Soliciting or accepting substantial gratuities or gifts is prohibited
- Falsification or unauthorized altering of records, employment applications, time sheets, time cards, etc.
- v. Operating a company vehicle without a valid Driver's License;
 driving a company vehicle without permission or allowing a nonauthorized person to drive a company vehicle or driving a
 company vehicle under the influence of intoxicating beverages,
 narcotics or non-prescriptive drugs.

 Company vehicle under the influence of intoxicating beverages,
 narcotics or non-prescriptive drugs.

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VII. GLOSSARY OF TERMS

which he or she lives. Allens A person born in and owing allegiance to a country other than the one in

American Arbitration Association A public service, non-profit organization established in 1926 and provides alternative dispute resolution services. Association appoints an arbitrator that acts as a judge.

Amendment An alteration of or addition to a bill, document, etc.

chosen by the parties or appointed under statutory authority. Arbitration The hearing and determination of a case in controversy by a person

organization. Board of Directors An official group of individuals who direct or supervise an

Breach Violation of a law, obligation, or standard

Commission Incentive plan that rewards employees, at least in part, based on

Common Stock Capital stock other than preferred stock

to the point where corrective action is needed. violated an organizational rule or when the employee's performance has deteriorated Disciplinary Action Action taken against an employee when the employee has

Discrimination Prejudiced or prejudicial outlook, action, or treatment

Dividends A share of surplus allocated to stockholders.

advance on the bases of merit, ability and potential. Equal Employment Opportunity Refers to the right of all persons to work and to

employment opportunity; its powers were expanded in 1979. Civil Rights Act of 1964 to administer Title VII of the act and to ensure equal Equal Employment Opportunity Commission Federal agency created under the

professional categories. Exempt Employees are not covered by the Fair Labor Standards Act (FLSA). They are exempt from overtime under FLSA executive, administrative, or

the law deal with possible exemptions from paying overtime wages ime and a half for hours over 40 worked in one week. The most complex parts of for interstate commerce must be paid a certain minimum wage and must be paid individuals employed in interstate commerce or in organizations producing goods Fair Labor Standards Act Was passed in 1938. Its primary requirements are that

Fiscal Year An accounting period of twelve months

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for anyone to hire, recruit, or refer for employment in the United States a person Immigration Reform & Control Act of 1986 Was passed in 1986 making it illegal

70 Incentives Pay plans designed to relate pay directly to perform often used in conjunction with a base wage/salary system. Incentives Pay plans designed to relate pay directly to performance or productivity: penalty of perjury that it has verified that the individual is not an unauthorized knowing that he or she is an unauthorized alien. A company must attest under

7 Infraction An act or an instance of violating a law, rule, policy, etc.

© Interest An excess above what is due.

D. Non-Exempt Employees are covered.

Non-Exempt Employees are covered by the Fair Labor Standards Act; they must be paid overtime and are subject to a minimum wage.

Performance Criteria Specific standards/goals that provide a consistent definition of quality. They should be measurable, challenging and realistic. Performance of criteria should be agreed upon prior to the beginning of the performance period.

Permanent Residence The act of dwelling in a place for some time.

Pre-tax Contributions Allows employee contributions for health insurance premiums to be paid before federal and state taxes, and social security (FICA)

L taxes are deducted from wages.

taxes are deducted from wages.

and obtains victory. Prevailing Party A person or group that maintains a side of a question or dispute

Document 6 Volt's policy. but not limited to dishonesty, screaming, inappropriate language, not abiding in Professional Misconduct Any behavior inappropriate for the workplace such as

and regulations against discrimination in the workplace. Protected Classification A specific group of people protected under various laws

Remedies The legal means to recover a right

Retaliation To get revenge.

Service Year The number of completed years of services based on an employee's hire date.

1:07-cv-07158-DLC under specific benefit plans such as health, long-term disability, life, etc. Statement of Insurability A medical questionnaire used to determine insurability

Summons A call by authority to appear in court. Solicitation The act or an instance to make petition to; to approach with a request

Tax-Deferred Funds An opportunity to save your money for retirement tax free. These monies are excluded from your taxable earnings as stipulated by governmen

benefit of another. Trust The care or management of property or funds by a person or a bank for the

EXHIBIT D

ACKNOWLEDGMENT

I will read and follow the policies described in the handbook. I understand that violation of any of the Company's written or unwritten rules, personnel policies or practices may result in my immediate discharge. I understand that the Company has, the right to change, interpret, or cancel any of its published or unpublished personnel policies, benefits or practices without advance notice. Because the Company's policies may change from time to time. I have been instructed to check with my supervisor if I have a specific question about any Company policy or practice.

I have read, understand, and agree to be bound by the Company's Discrimination Complaint Procedures, including Arbitration, and expressly waive my right to sue the Company, its agents and employees, in court and I agree to submit to final and binding arbitration any dispute, claim or controversy arising between me and the Company that I would have been otherwise entitled to file in court.

Date	13 March 2002
Printed Name	ANDREW M. CYRRY
Signature of Employ	

SOUT	ED STATES DISTRIC THERN DISTRICT OF		
ANDI	REW CURRY,		
		Plaintiff,	Civil Action No. 07 CV 7158 (DC)
	-against-		AFFIDAVIT OF SERVICE
	INFORMATION SCI	ENCES, INC. and TIONS GROUP, INC.,	
		Defendants.	
STAT	E OF NEW YORK)) ss.:)	
	I, WENDY IS	SBISTER, being duly sworn,	state:
	I am not a pa	rty to the action; am over ei	ghteen (18) years of age; and reside in
Pawlin	ng, New York.		
	On Novembe	r 9, 2007 , I served a copy of	f a Notice of Motion with Supporting
Paper	s, by depositing a true	copy of the same, enclosed i	n wrapper, via overnight mail under the
exclus	sive care and custody of	of FedEx, addressed to the fe	ollowing at the last know addresses set
forth b	pelow:		
To:	,	Ben-Asher & Bierman LLP loor	
		Wi	Wondy L. Isbister

Sworn to before me this 9th day of November, 2007

Notary Public
LUCILLE ORTIZ
NOTARY PUBLIC, State of New York No. 010R4722675

Qualified in Nassau County Commission Expires Feb. 28, 2011